

REQUEST FOR QUALIFICATIONS

ILLINOIS DEPARTMENT OF TRANSPORTATION

Construction Manager/General Contractor Project

I-290 DRAINAGE IMPROVEMENT PROJECT

Contract No.: 62W51

City/County: Cook County

Section: 2023-929-SS,DR

Route: I-290

Project: I-290 Storm Sewer (New) – Des Plaines River to Austin Blvd

District: 1

Original Issue 01/31/2025

Addendum No. 1 02/28/2025



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Addendum No. 1

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1. INTRODUCTION

The Illinois Department of Transportation (“**IDOT**”) is pleased to present this Request for Qualifications (“**RFQ**”) to those entities or groups (the “**Proposers**”) interested in submitting Statements of Qualifications (“**SOQs**”) for the management and construction of I-290 Drainage Improvement Project (the “**Project**”) pursuant to a construction manager/general contractor contract (“**CMGC Contract**”).

The Project may be funded with federal-aid dollars thereby requiring the Proposers to adhere to all pertinent federal, state and local requirements.

IDOT has evaluated the Project and has determined, in accordance with Illinois law, that a construction manager/general contractor delivery method (“**CMGC Delivery**”) is in the State’s best interest for the Project. Such determination is documented by IDOT in the “Written Determination” and is available to Proposers on IPD projects website: <https://idot.illinois.gov/transportation-system/transportation-management/planning/innovative-project-delivery/ipd-projects.html>

Section 2 of this RFQ provides a more detailed description of the Project; however, the Project description in this RFQ is general in nature. More precise descriptions and specifications will be provided to shortlisted Proposers in the forthcoming Request for Proposals (“**RFP**”).

1.1 CMGC Procurement and Process Overview

This RFQ is issued under the Innovations for Transportation Infrastructure Act, 630 ILCS 10/1 *et seq.* (the “**Act**”), as well as other Illinois laws, including certain provisions of the Illinois Procurement Code, 30 ILCS 500/1-1 *et seq.* (the “**Illinois Procurement Code**”). IDOT intends, through this procurement, to enter into a CMGC Contract that will result in cost-effective and expedited completion of all elements of the Project. The CMGC Contract will obligate the contractor (“**CMGC** or “**Contractor**”) to both administer and construct the Project. IDOT will use a two-step procurement process to select a CMGC to deliver the Project.

This RFQ is issued as part of the first step (the “**RFQ Step**”) to solicit information from Proposers, in the form of Statement of Qualifications (“**SOQs**”), that IDOT will evaluate to determine which Proposers are the most qualified to successfully deliver the Project. IDOT intends to shortlist no more than five and no fewer than two Proposers that are eligible to receive the Request for Proposals (“**RFP**”). IDOT may shortlist fewer than two Proposers if the Secretary of IDOT makes a finding that an emergency justifies the limited shortlisting or fewer than two Proposers meet any applicable prequalification, or pass-fail requirements set forth in this RFQ. A Proposer must be prequalified in accordance with IDOT’s Prequalification process prior to submitting an SOQ on a CMGC project for IDOT, the process for which is governed by Title 44 Illinois Administrative Code Section 650, as further described in Section 2.7 of this RFQ.

In the second step (the “**RFP Step**”), IDOT will issue an RFP for the Project to the shortlisted Proposers. Only the shortlisted Proposers will be eligible to submit Proposals in response to the RFP for the Project.

In response to the RFP, each Proposer will submit a proposal (a “**Proposal**”), inclusive of a detailed project scope and price based on anticipated labor hours plus direct costs for providing certain services for the Project during the preconstruction phase (such services, the “**Preconstruction Phase Services**”). IDOT intends to award a CMGC Contract for the Project to the Proposer offering the highest-ranked, responsive Proposal, to be determined as described in the RFP.

Once the selection process is completed, the CMGC Contract will be executed and IDOT will issue notice to proceed with the Preconstruction Phase Services (“**NTPI**”) in accordance with the CMGC Contract. If the successful Proposer is unable or unwilling to execute the CMGC Contract during the RFP Step, IDOT may award the Project to the Proposer with the next highest score.

Following award and execution of the CMGC Contract, as the design reaches a desired level of completion, the CMGC will prepare a proposal containing a guaranteed maximum price (a “**GMP**”) for the overall construction

cost of the Project (such proposal, the “**GMP Proposal**”). Subject to a successful negotiation with IDOT, the CMGC will be awarded the construction portion of the Project and will be responsible for the completion of the construction while directly performing at least ~~35~~25% of the work. The remaining ~~65~~75%, or less work, may be completed by subcontractors.

Once the scope and fee for the construction phase services (such services, the “**Construction Phase Services**”) are negotiated and agreed, the CMGC Contract will be amended and IDOT will issue notice to proceed with the Construction Phase Services (“**NTP2**”) in accordance with the CMGC Contract.

1.2 Procurement Schedule

IDOT anticipates carrying out the procurement in accordance with the following schedule (the “**Procurement Schedule**”):

Milestone	Due Date/Time
Issue RFQ	January 31, 2025
Deadline for submitting Requests for Clarification (RFCs)	February 24, 2025, 4:00 pm CST
SOQ due date	March 25, 2025, 4:00 pm CST
Notification of shortlisted Proposers	April 29, 2025
Anticipated Draft RFP	May 2025
Anticipated Q&A Period (Q&A period ends approximately 2 weeks before issuance of final RFP)	June 2025
Anticipated Final RFP Issuance	June 2025
Anticipated Proposal Due Date	July 2025
Anticipated Notification of CMGC Selection	September 2025
Anticipated CMGC Contract Award	November 2025

All times referenced above are prevailing Central Time in Springfield, Illinois.

The proposed schedule is subject to modification at the sole discretion of IDOT. IDOT reserves the right to revise this RFQ by issuing addenda before the SOQ due date. RFQ addenda, if any, will be posted on the following website (the “**Innovative Project Delivery (IPD) Procurements Website**”):
<https://webapps1.dot.illinois.gov/WCTB/LbHome>.

Proposers are responsible for monitoring the Innovative Project Delivery (IPD) Procurements Website for information concerning this procurement as mentioned in Section 2.2.1.

1.3 Definitions and Acronyms

Please refer to Exhibit 1 – Definitions and Acronyms of this RFQ for the meanings of various capitalized terms and acronyms used herein.

2. BACKGROUND INFORMATION; RFQ PROCESS

2.1 Project Goals

The following goals have been established for the Project:

- A. Create a competitive procurement environment and efficiently administer the CMGC Delivery
- B. Minimize environmental, community, congestion, and utility impacts during construction
- C. Minimize disruption to the traveling public including vehicles, commuter and freight railways and pedestrian traffic during construction
- D. Accelerate project development and construction activities and minimize schedule delays
- E. Minimize impacts to the Des Plaines River located on the east end of the Project improvements adjacent to the existing IDOT pump station
- F. Create a safe work area and tunnel boring operations for construction personnel and the traveling public and develop risk identification and mitigation factors which are common to tunnel boring operations in the identified geotechnical conditions
- G. Promote diversity and participation by small, minority, and women-owned businesses in the development, design, and construction of the Project
- H. Coordinate design, construction, and long-term lifecycle maintenance with proposed elements of the I-290 corridor wide improvements (the “**I-290 Corridor Project**”)
- I. Efficiently coordinate public outreach and communication with adjacent I-290 projects, local jurisdictions, and third parties

2.2 Project Description

The Project is one of several projects to be completed as part of the larger I-290 Corridor Project. The scope of the Project includes drainage improvements extending from the Des Plaines River (west termini) to approximately east of Austin Boulevard (east termini) along the Eisenhower Expressway (I-290). The improvements will connect to and convey drainage to IDOT’s existing Pump Station No. 4.

The drainage improvements include:

- A. providing a proposed hydraulically connected parallel trunk sewer to supplement the existing trunk sewer conveying I-290 stormwater to Pump Station No. 4
- B. surface drainage system improvements for the supplemental trunk sewer and connection to the existing surface system
- C. required detention storage vaults along I-290
- D. hydraulic connection of the proposed trunk line to the existing I-290 trunk line to function as a single system, and
- E. providing compatibility of the proposed improvements for future anticipated corridor improvements in accordance with the completed IDOT Phase I Eisenhower Expressway from West of Mannheim Road to Racine Avenue, the approved I-290 Environmental Impact Statement (EIS), and the Phase I Proposed Drainage Plan (PDP)

Project information and data is included in attachments as follows:

- A. Attachment A – Location Map

B. Attachment B – Preliminary Reference Information Documents (“RIDs”)

A list of preliminary RIDs has been provided in Attachment B. The RIDs will be provided to the shortlisted Proposers during the RFP Step. The RIDs are for informational purposes only and are subject to revision, correction, or alteration. The RIDs provided may only be relied upon by a Proposer at its own risk and will not be considered contractual or binding in nature.

C. Attachment N – Project Information Documents (“PIDs”)

PIDs are data driven, project studies or other related information provided by the Department and are intended to be relied upon by the Proposer. A list of PIDs has been provided in Attachment N. The PIDs will be provided to the shortlisted Proposers during the RFP Step.

The reference to any website in this RFQ is provided for reference and background information only. IDOT makes no representation as to the accuracy, completeness, or pertinence of the information in any referenced website. In addition, IDOT shall not be responsible for any interpretations thereof or conclusions drawn therefrom. The information contained in any referenced website reflects information as of any date or time identified therein.

A detailed scope of services required by the CMGC for the Project has been provided in Section 3.

2.2.1. Communication Protocols

This RFQ has been posted to the Innovative Project Delivery (IPD) Procurements Website.

Information regarding this RFQ will be posted at the Innovative Project Delivery (IPD) Procurements Website. IDOT reserves the right to revise this RFQ at any time before the SOQ due date. Such revisions, if any, will be announced by addenda and posted on the Innovative Project Delivery (IPD) Procurements Website.

IDOT may make edits in addenda to this RFQ in response to clarification requests. Alternatively, IDOT may respond to those questions that IDOT deems to be material and not adequately addressed through potential addenda to the RFQ. IDOT will post any such responses and/or addenda to this RFQ on the Innovative Project Delivery (IPD) Procurements Website, except clarification requests deemed confidential in IDOT’s sole discretion as mentioned in Section 2.3.

Proposers are responsible for monitoring the Innovative Project Delivery (IPD) Procurements Website for information concerning this procurement as teams responding to this RFQ will be required to acknowledge in Attachment M - Acknowledgement of RFQ Revision and/or Addenda that they had access to all relevant materials and have received and reviewed all addenda posted thereon.

The IDOT Procurement Manager shall be:

Michael Stirk
Bureau Chief – Innovative Project Delivery
Illinois Department of Transportation (IDOT)
Bureau of Innovative Project Delivery
2300 South Dirksen Parkway
Springfield, Illinois, 62764
Email: DOT.IPD.I-290DrainageProject@illinois.gov

2.3 Request for Clarification (RFC) or Questions

All RFCs must be received by IDOT at the email address mentioned in Section 2.2.1 by the applicable deadline in the Procurement Schedule (Section 1.2). Proposers must submit all RFCs through a single authorized representative designated by the Proposer.

Each RFC shall be submitted using Attachment O - RFC Submittal Form, one RFC per form. Consistent with the

RFC Submittal Form, all RFCs shall: (a) include the RFC Number, (b) include the name of the Proposer; (c) include the name, telephone number, and email address of the Proposer's authorized representative; (d) identify the relevant RFQ attachment, exhibit, section(s), and page number(s), as applicable, or indicate if it is a general question or request; (e) include the clarification requested or Proposer's question; (f) not identify the Proposer in the body of the question or request; and (g) not conspicuously indicate whether the Proposer views its question or request as confidential or proprietary in nature. If a Proposer submits any RFC that it deems to be confidential or proprietary in nature, the Proposer shall enclose with the RFC Submittal Form a separate statement justifying Proposer's assessment.

IDOT will respond to Proposers' RFCs within a reasonable time following receipt, subject to any deadlines set forth in the Procurement Schedule. IDOT will post to the Innovative Project Delivery (IPD) Procurements Website all written responses to material or generally applicable RFCs that do not contain confidential or proprietary information. IDOT may also rephrase or consolidate RFCs and may provide clarification independent of Proposers' RFCs.

IDOT intends to respond individually to those RFCs identified by the Proposer and deemed by IDOT as containing confidential or proprietary information. IDOT reserves the right to disagree with a Proposer's assessment regarding confidentiality or the proprietary nature of information in an RFC, in the interest of maintaining a fair process or complying with applicable laws. Under such circumstances, IDOT will inform the Proposer and may allow the Proposer, within a time period set by IDOT, to withdraw the RFC, rephrase the RFC, or have the RFC answered non-confidentially; provided, however, that if IDOT determines that it is appropriate to provide a general response, IDOT reserves the right to modify the RFC to remove information IDOT deems confidential or proprietary. If a Proposer fails to respond to IDOT within the time frame identified by IDOT, such failure shall be deemed to allow IDOT to answer the question non-confidentially.

2.4 Improper Communications and Contacts

The following rules of contact with IDOT or a consultant, agent or representative of IDOT shall apply during the procurement for the Project, effective as of the date of issuance of this RFQ through the execution of the CMGC Contract. Contact includes face-to-face, telephone, facsimile, email or formal written communication, directly or indirectly with the Proposer or by an agent, representative, promoter, or advocate of the Proposer.

The specific rules of contact are as follows:

- A. After submittal of SOQs, no Proposer or any of its team members may communicate with another Proposer or its team members with regard to the RFQ, RFP, or either team's SOQ or Proposal; subcontractors that are shared between two or more Proposer teams may communicate with their respective team members so long as those Proposers establish reasonable protocols to ensure that the subcontractor will not act as a conduit of information between the teams (contact among Proposer organizations is allowed during informational meetings sponsored by IDOT).
- B. Proposers shall correspond with IDOT regarding the RFQ and RFP only through the IDOT Procurement Manager.
- C. Commencing with the issuance of this RFQ and continuing until the earliest of (i) award and execution of the CMGC Contract, (ii) rejection of all Proposals by IDOT, or (iii) cancellation of the procurement, no Proposer or representative thereof shall have any ex parte communications regarding the RFQ, RFP, or the procurement described herein with any IDOT staff, advisors, contractors, or consultants involved with the procurement or the Project, except for communications with IDOT consultants who have completed their services for the Project and have been released by the applicable agency(-ies), or communications expressly permitted by the RFQ or RFP, or except as approved in writing in advance by IDOT. The foregoing restriction shall not, however, preclude or restrict communications regarding matters unrelated to the RFQ, RFP, or the procurement, or limit participation in public meetings or any public or Proposer workshop related to this RFQ or the RFP. Any Proposer engaging in such prohibited communications

may be disqualified at the sole discretion of IDOT.

- D. Proposers shall not contact the stakeholders regarding the Project, including employees, representatives, members, consultants, and advisors of the entities listed below, except as specifically approved in advance by IDOT in writing:
- IDOT (except as provided herein) including all divisions and district offices
 - Any consultant, agent and representative of IDOT
 - Federal Highway Administration
 - U.S. Department of Transportation (USDOT)
 - Utilities in or along the Project corridor
 - Railroads with railways in or crossing the Project
 - Conflicted firms listed in Section 2.9

For the avoidance of doubt, Proposers are encouraged to seek approval in advance by IDOT in writing before contacting any project stakeholder or third party that are not members of or potential members of a Proposer.

IDOT will provide any necessary coordination during the RFQ Step with appropriate stakeholders, so that, among other things, the procurement is implemented in a fair, competitive and transparent manner and using uniform information.

- E. Without limiting the generality of the foregoing, Proposers shall not contact any FHWA project or program office, or any representative or consultant of FHWA, regarding any SEP-14, SEP-15 or other applications that may be submitted to FHWA or USDOT.
- F. Any communications determined to be prohibited or improper, at the sole discretion of IDOT, may result in disqualification. Any official information regarding the Project will be disseminated by IDOT on IDOT or Project letterhead. Any official IDOT correspondence will be in writing and signed by the IDOT Procurement Manager or his/her designee. IDOT will not be responsible for, and Proposers may not rely on any oral exchange or any other information or exchange that occurs outside the official process specified herein.

2.5 News Releases

Any news releases by a Proposer pertaining to this RFQ or the services, study, data, or Project to which it relates will not be made without prior written IDOT approval, and then only in accordance with the explicit written instructions from IDOT.

2.6 Disclosure

All information in a Proposer's SOQ and any Contract resulting from this procurement process are subject to disclosure under the provisions of the "Freedom of Information Act," 1976 Public Act No. 442, as amended, MCL 15.231, et seq and Section 12.2 of this RFQ.

2.7 Prequalification

Proposers will be expected to satisfy IDOT's general prequalification requirements for the Project as specified by IDOT in this Section 2.7 prior to submitting their SOQ.

Firms not already prequalified by IDOT must complete the prequalification process prior to submitting their SOQ. Generally, the prequalification process involves submission of (i) an application form consisting of, among other things, questions regarding firm and individual experience and equipment, and (ii) financial information. This process may take up to eight weeks.

IDOT's general prequalification requirements are subject to prequalification, licensing, and registration requirements pursuant to the Professional Engineering Practice Act of 1989 (225 ILCS 325/1 et seq.), the Illinois Architecture Practice Act of 1989 (225 ILCS 305/1 et seq.), the Structural Engineering Practice Act of 1989 (225 ILCS 340/1 et seq.), and the Illinois Professional Land Surveyor Act of 1989 (225 ILCS 330/1 et seq.). Consistent with Section 25(b)(4) of the Act, IDOT is not precluded from including additional prequalification criteria or factors to assess the technical experience or financial capability of Proposer teams. IDOT maintains on its website a list of firms prequalified by IDOT pursuant to Section 20 of the Architectural, Engineering, and Land Surveying Qualifications Based Selection Act (30 ILCS 535/1 et seq.) to provide architectural, engineering and land surveying services.

This list and other information regarding prequalification for professional services is available at:
<http://www.idot.illinois.gov/doing-business/procurements/engineering-architectural-professional-services/index>.

Information regarding Contractor prequalification is available at:
<http://www.idot.illinois.gov/doing-business/procurements/construction-services/index>

Proposers may contact the appropriate IDOT staff identified in Section 2.2.1 to apply for prequalification or discuss the prequalification process or requirements generally; provided, however, that neither this Project nor this procurement may be discussed with IDOT staff except in accordance with Section 2.2.1. RFCs regarding prequalification requirements for this Project and procurement processes and evaluations with respect thereto must be submitted to the IDOT Procurement Manager contact in accordance with Section 2.2.1.

Additional details regarding prequalification requirements and prequalification assistance will be provided to the shortlisted Proposers.

2.8 Principal Participants

As used herein, the term "Principal Participant" means any of the following entities: (i) any joint venture, partner, or firm holding an interest in the CMGC if the CMGC is a joint venture, partnership, or other form of unincorporated legal entity; (ii) any person or firm holding (directly or indirectly) a 15% or greater interest in the CMGC if the CMGC is a corporation, limited liability company, or other form of incorporated legal entity; or (iii) parent company or Affiliate of the CMGC or another Principal Participant that will provide financial support to such firm (guarantor) to meet the financial obligations of the CMGC Contract.

2.9 Organizational Conflicts of Interest

Proposers are advised that IDOT's conflicts of interest policy may preclude certain firms and their subsidiaries and Affiliates from participating on a Proposer team, as determined by IDOT. It is IDOT's policy that any consultant, agent or representative under contract, or previously under contract, with IDOT to prepare procurement documents, preliminary plans, planning reports, or other project development products for the Project will not be allowed to participate in any capacity on a Proposer team. Exceptions to this policy may be granted by IDOT in accordance with the process for review of potential conflicts of interest described below.

Proposers are prohibited from teaming with, receiving any advice from, or discussing any aspect of the Project or its procurement, with any person or entity with an organizational conflict of interest, including, but not limited to:

- RS&H, Inc.
- EXP US Services, Inc.
- Peralte-Clark, LLC
- Crawford, Murphy, & Tilly, Inc.
- CDM Smith, Inc.
- EFK Moen, LLC
- Images, Inc.

- Kaskaskia Engineering Group, LLC
- Quigg Engineering, Inc.
- Arcadis U.S., Inc.
- Program Management & Control Services, LLC (PMCS)
- SRF Consulting Group, Inc.
- H.N.T.B. Corp.
- Accurate Group, Inc.
- GSG Consultants, Inc.
- HDR Engineering, Inc
- OSEH, Inc.

Any entity that is a parent, affiliate, or subsidiary, at any tier, of any of the foregoing entities, or that is under common ownership, control, or management of any of the foregoing entities (“**Related Entities**” of the foregoing) may also be precluded from participating on or advising a Proposer team due to an organizational conflict of interest.

Any Proposer wishing to team with, receive advice from or discuss any aspect of the Project with one of the foregoing entities or one of their Related Entities shall first submit to the IDOT Procurement Manager (see Section 2.2.1) a request for review of the entity’s potential conflict of interest. This request shall take the form of a statement disclosing all relevant facts concerning any past, present, or currently planned interests which may present an organizational conflict of interest with respect to the Project, including the facts and circumstances of the relevant entity’s current or past involvement with the Project (or any portion thereof) and the nature of its proposed participation with a Proposer team with respect to the Project. Proposer shall state how the interests of such entity, including interests of any chief executives, directors, or Key Personnel thereof, may result in, or could be viewed as, an organizational conflict of interest. Proposer’s request shall specifically disclose whether the relevant entity at any time (a) was involved in the preparation of procurement documents, technical criteria, or evaluation criteria for the Project or any portion thereof (including the Project), or any other CMGC project; or (b) participated in meetings or conference calls with IDOT or with IDOT’s consultant team (technical, legal, or financial advisors) related to the Project.

Based upon a review of the information submitted, IDOT will determine whether the entity will be precluded from participating in or advising the Proposer team due to an actual or potential conflict of interest under applicable law and/or IDOT’s conflict of interest policy. If the entity’s participation is permitted, IDOT may identify actions that must be taken to avoid, neutralize, or mitigate the conflict.

Proposers are advised that other IDOT consultants working on the Project may have an organizational conflict of interest. Proposers are encouraged to review the policy and applicable laws and discuss potential conflicts of interest with prospective team members and consultants.

Proposers are also advised that IDOT’s conflicts of interest policy is intended to augment applicable federal and state law, including, but not limited to, federal organizational conflict of interest laws and rules (including 23 Code of Federal Regulations (CFR) § 636.116) and the laws and rules relating to National Environmental Policy Act (NEPA). Such applicable laws will also apply to Proposer teams and teaming and may preclude certain firms and their entities from participating on a Proposer team.

By submitting its SOQ, each Proposer agrees that, if an organizational conflict of interest is thereafter discovered, the Proposer must make an immediate and full written disclosure to IDOT that includes a description of the action that the Proposer has taken or proposes to take to avoid or mitigate such conflicts. If an organizational conflict of interest that the Proposer knew or should have known about, but did not disclose, is determined to exist during the procurement process, IDOT may, at its discretion, disqualify the Proposer. If an organizational conflict of interest that the Proposer knew, or should have known about, but did not disclose exists, and the Proposer has entered into a CMGC Contract as the CMGC, IDOT may, at its sole discretion, terminate the CMGC Contract. IDOT may

pursue other remedies as available by law.

2.10 Changes to Organizational Structure

All changes in Key Personnel or a Principal Participant from a Proposer's SOQ to the Proposal in response to the RFP shall be submitted and approved by IDOT in writing. The Proposer shall not change or substitute any Key Personnel or Principal Participant except due to voluntary or involuntary termination of employment, retirement, death, disability, incapacity, or as otherwise approved by IDOT. The IDOT Procurement Manager, in his/her sole discretion, will determine whether to authorize a change. Job duties and responsibilities of Key Personnel and Principal Participants shall not be delegated to others for the duration of the CMGC Contract.

Changes in Key Personnel or a Principal Participant shall be approved by IDOT prior to submitting a Proposal in response to the RFP. IDOT may remove a Proposer from the shortlist or revoke an awarded CMGC Contract if any Key Personnel or Principal Participant identified in the SOQ is removed, replaced, or added without IDOT's prior written approval. To qualify for IDOT approval, the written request, utilizing Attachment E - Changes to Principal Participant and Key Personnel, shall document that the proposed removal, replacement, or addition will be equal to or better than the Key Personnel or Principal Participant provided in the SOQ. IDOT will use the criteria specified in this RFQ to evaluate all requests. All proposed changes in Principal Participants or Key Personnel shall be submitted to the IDOT Procurement Manager.

2.11 Security, Insurance, and Indemnity

The CMGC will be required to provide payment and performance bonds as part of the security package under the CMGC Contract, in conformance with the Illinois Public Construction Bond Act, 30 ILCS 550/0.01 et seq. The CMGC will be required to provide a surety letter for the amount mentioned in Section 4.2 (G) with their SOQ. The required amount of the bonds for each project phase will be set forth in the CMGC Contract.

The CMGC will also be required to provide a proposal bond on a form acceptable to IDOT with their Proposal during the RFP Step, executed by a corporate surety company satisfactory to IDOT, or a proposal guaranty check consisting of a bank cashier's check or a properly certified check. The proposal guaranty amount will be set forth in the RFP.

The CMGC will also be required to provide primary insurance coverage for and to indemnify, defend and hold IDOT and related entities and persons harmless against third party and other claims as specified in the CMGC Contract.

More specific requirements for the performance security (including the acceptable form and amounts thereof), proposal guarantee, and insurance and indemnification will be set forth in the RFP or CMGC Contract.

2.12 Federal Requirements

Proposers are advised that the procurement documents will be drafted based on the assumption that the Project will be eligible for federal aid funds. Therefore, the procurement documents and the CMGC Contract shall conform to requirements of applicable federal law, regulations, and policies. IDOT anticipates that certain federal procurement requirements will apply, including but not limited to Equal Opportunity requirements (Title VI of the Civil Rights Act of 1964, as amended), requirements applicable to DBEs (49 CFR Part 26, as amended), Small Business requirements (United States Code Sections 631 et seq.), Buy America requirements (49 CFR 661) and Davis-Bacon wage rates. IDOT reserves the right to modify the procurement process described herein to address any concerns, conditions, or requirements of federal agencies, including the Federal Highway Administration (the "FHWA"). Proposers shall be notified in writing via an addendum of any such modifications.

2.13 Equal Employment Opportunity

The Proposer will be required to follow equal employment opportunity policies of the U.S. Equal Employment Opportunity Commission and of the State of Illinois, including, but not limited to, Title VI of the Civil Rights Act

of 1963, as amended (42 U.S.C. § 2000 *et seq.*); the Equal Pay Act (29 U.S.C. § 206(d)); the Age Discrimination in Employment Act of 1967 (29 U.S.C. § 621 *et seq.*); the Americans with Disabilities Act of 1990 (42 U.S.C. § 12101 *et seq.*); Title II of the Genetic Information Nondiscrimination Act of 2008 (42 U.S.C. 2000 ff *et seq.*); Nondiscrimination (23 U.S.C. § 140 (a)); §2-105 of the Illinois Human Rights Act (775 ILCS 5/ *et seq.*); the Employment of Illinois Workers on Public Works Act (30 ILCS 570/ *et seq.*) and the Illinois Works Apprenticeship Initiative (30 ILCS 559/20-20 to 20-25).

2.14 Disadvantaged Business Enterprises

It is the policy of IDOT that Disadvantaged Business Enterprises (“**DBEs**”), as defined in 49 CFR Part 26, and other small businesses shall have the maximum feasible opportunity to participate in contracts financed in whole or in part with public funds. Consistent with this policy, IDOT will not allow any person or business to be excluded from participation in, denied the benefits of, or otherwise be discriminated against in connection with the award and performance of any U.S. Department of Transportation (“USDOT”)-assisted contract because of sex, race, religion, or national origin. IDOT has established a DBE program in accordance with regulations of the USDOT, 49 CFR Part 26 and the Business Enterprise for Minorities, Women, and Persons with Disabilities Act (30 ILCS 575/4), as well as the federal contractual requirements set forth in FHWA Form 1273. In this regard, the Proposer will take all necessary and reasonable steps in accordance with 49 CFR Part 26 to ensure that DBEs have the maximum opportunity to compete for and perform the CMGC Contract. Additional DBE requirements will be set forth in the RFP and CMGC Contract.

There is no specific DBE utilization goal for the Preconstruction Phase. However, any services provided by a DBE during the Preconstruction Phase in accordance with the Project DBE requirements may count towards the overall DBE utilization goal for the Project. The overall DBE utilization goal for the Project will be provided to the shortlisted Proposers during the RFP Step.

3. SCOPE OF WORK

3.1 Preconstruction Phase

The CMGC is expected to provide construction expertise and technical experience to assist in project decision-making during the preconstruction phase, ensure the technical challenges are addressed, and provide input on items affecting construction costs, schedule, constructability and market conditions. The design work will be performed by the Engineer of Record (EOR) and CMGC is expected to work in close collaboration with the EOR to provide construction and technical expertise during the preconstruction phase. The CMGC shall perform the services described below. Preconstruction Phase Services to be provided by CMGC will be further detailed in the RFP.

Cat. 1: Design and Constructability Related Services	Cat. 5: Risk Management
<ul style="list-style-type: none"> Design Input, Reviews and Innovations Constructability Reviews, Sequence of Construction, Construction Approach Planning and Maintenance of Traffic Operations and Maintenance (O&M) Reviews Assistance with Scope of Work for GMP Pricing Feasibility Studies Review Construction Requirements Basis of Construction Plan 	<ul style="list-style-type: none"> Risk Identification, Mitigation and Risk Register
Cat. 2: Cost Related Services	Cat. 6: NEPA, Environmental Compliance, Permitting and Third-Party Coordination
<ul style="list-style-type: none"> Project Estimates/OPCCs Cost Model Narrative GMP Amendment Proposal Cash Flow Projections and Payment Schedule 	<ul style="list-style-type: none"> Temporary/Permanent Easement Needs Third-party Impact Avoidance and Reduction Strategies Assistance in Obtaining Third Party Approvals and Permits Coordinate with Third Party Stakeholders Utility Coordination and MOUs Attend Public Meetings Analyze Environmental Commitment or Permits Analyze Right of Way Requirements
Cat. 3: Schedule Related Services	Cat. 7: Preconstruction Field Related Services
<ul style="list-style-type: none"> Prepare Project Schedule Review EOR's Preconstruction Schedule Schedule Risk Analysis 	<ul style="list-style-type: none"> Additional Geotechnical investigation Other Site Investigation Utility Investigation Support
Cat. 4: Administrative Related Services	Cat. 8: Subcontracting Related Services
<ul style="list-style-type: none"> Project Meetings (Kick off Meeting, Initial Approach to Cost Meeting, Design Coordination Meetings, Regular Cost and Schedule Workshops, Design Milestone Review Meetings, OPCC Price Reconciliation Meetings and Risk Workshops) Partnering Document Control DBE Utilization Plan Submittal Project Management Plan (PMP) and its Sub Plans 	<ul style="list-style-type: none"> Subcontracting Plan Material, Equipment and Labor Availability Review Coordinate Site Visits for Subcontractors

3.1.1. GMP Negotiations

The CMGC will perform ongoing estimates – Opinion of Probable Construction Cost (the “**OPCC**”) of the price to construct the Project. The OPCC is a non-binding estimate that will aid IDOT and the CMGC in evaluating design parameters and as needed, to clarify the final scope of construction.

At a design completion stage mutually decided by IDOT and CMGC, the CMGC will submit a GMP Proposal containing a proposed GMP to construct the Project. The CMGC and IDOT will then negotiate a GMP for the construction work. During these negotiations, the CMGC may revise and resubmit the GMP Proposal based on the negotiations with IDOT.

If IDOT accepts a GMP Proposal, IDOT will award the construction work to the CMGC through an amendment (the “**GMP Amendment**”) to the CMGC Contract. If IDOT and CMGC fail to negotiate a GMP, IDOT may terminate the CMGC Contract with the CMGC and procure the construction work as part of a new solicitation. If IDOT terminates the CMGC Contract, IDOT will own all work product produced by the CMGC as part of the Preconstruction Phase Services. Additionally, if IDOT terminates, the CMGC and its Principal Participants will be ineligible to bid as a prime or subcontractor in any subsequent procurement for the Project’s construction work unless in its sole discretion IDOT determines that the participation does not constitute a conflict of interest. Further details on OPCC submissions and GMP Proposal will be provided in the RFP.

3.2 Construction Phase

If IDOT and the CMGC agree on a GMP for the construction work, IDOT will execute a GMP Amendment that adds the Construction Phase Services to the CMGC’s scope of work. The price to perform the Construction Phase Services will be the agreed-upon GMP. The CMGC will perform the Construction Phase Services in accordance with the final design prepared by the EOR and terms and conditions of the CMGC Contract and the GMP Amendment.

4. CONTENT OF STATEMENT OF QUALIFICATIONS

This Section 4 describes specific information that shall be included in the SOQ. SOQs shall follow the outline of this Section 4. Proposers shall provide brief and concise information that addresses the requirements of the Project consistent with the evaluation criteria described in this RFQ. SOQs shall be submitted exclusively in the English language, inclusive of English units of measure and cost terms in United States of America dollar denominations.

4.1 Introduction

The RFQ Step of the procurement process is intended to enable Proposers to demonstrate their qualifications to perform the Preconstruction Phase Services and Construction Phase Services, in case a GMP Amendment is executed to the CMGC Contract, and to enable IDOT to evaluate those qualifications in arriving at a shortlist. Proposers are advised that the SOQ should include specific information that will demonstrate the qualifications and experience required by this RFQ. Proposers should note that it is not the intent of IDOT to receive Project-specific design or engineering recommendations as part of this RFQ.

The SOQ will consist of all information required under this Section 4. Proposers shall complete the SOQ Checklist (Attachment F - Statement of Qualifications Checklist and Contents) and include it in their SOQs. The purpose of the SOQ Checklist is to aid the Proposer in ensuring all submittal requirements have been included in the Proposer's SOQ and to provide a page reference indicating the location of each submittal requirement in the SOQ.

Proposers shall be aware that IDOT reserves the right to verify any information, including prior experience, identified in an SOQ by contacting project references, accessing public information, contacting independent parties, or any other means. IDOT also reserves the right to request additional information from a Proposer during the evaluation of that Proposer's SOQ.

If the Proposer has concerns about information included in its Statement of Qualifications that may be deemed confidential [or Proprietary], the Proposer shall adhere to the requirements set forth by Section 12.2.

4.2 Letter of Submittal

The letter of submittal ("**Letter of Submittal**") shall be on the Proposer's letterhead and identify the full legal name and address of the Proposer. The Proposer is defined as the legal entity who will execute the CMGC Contract with IDOT. The Letter of Submittal shall be signed by an authorized representative of Proposer's organization.

Specifically, the Letter of Submittal must provide the following:

- A. Identify the name, title, address, phone and fax numbers, and e-mail address of an individual who will serve as the point of contact (the "**Designated Contact**") for the Proposer.
- B. Identify the name, address and telephone number of the individual who will serve as the "Principal Officer" of the Proposer (e.g., President, Treasurer, Chairperson of the Board of Directors, etc.).
- C. Identify whether the Proposer will be structured as a corporation, limited liability company, general partnership, joint venture, limited partnership or other organizational form. Identify the team members who will undertake financial responsibility for the Project and describe any liability limitations. If the Proposer is a limited liability company, partnership or joint venture, describe the bonding approach that will be used and the members of such organizations who will have joint and several liability for the performance of the Work required for the Project. If the Proposer is a consortium, partnership, or any other form of joint venture, provide a summary of the key terms of the executed or anticipated teaming agreement, including percentages of ownership, roles of the various parties, and execution date (actual or anticipated). Such summary of key terms will be excluded from the page limit.

- D. Identify the full legal name of the CMGC for this Project. The CMGC entity that will serve as the prime/general contractor responsible for overall construction of the Project and will serve as the legal entity who will execute the CMGC Contract with IDOT. If the name is a “doing business as” or “DBA,” identify underlying names. If the Proposer entity has not been legally formed yet, state that a legal entity has not yet been created, provide a proposed name (if any) or a temporary name for the Proposer, and describe the expected timing for creating a legal entity for the Proposer. Identify the legal name and nature of the Proposer and the state within which it was organized, or for Proposers that have not formed a legal entity yet, identify the proposed legal name and nature of the Proposer and the state within which Proposer expects to organize the entity.
- E. Provide the full legal name and address of all affiliated and/or subsidiary companies of the Proposer on Attachment G - Affiliated and Subsidiary Companies of the Proposer, and indicate which companies are affiliates and which companies are subsidiaries. An Affiliate shall be considered as any business entity that is so closely associated to another business entity that one entity controls or has power to control the other entity either directly or indirectly; or, when a third party has the power to control or controls both; or where one business entity has been so closely allied with another business entity through an established course of dealings, including but not limited to the lending of financial wherewithal, engaging in joint ventures, etc. as to cause a public perception that the two firms are one entity. Firms which are owned by a holding company or a third party, but otherwise do not meet the above conditions and do not have interlocking directorships or joint officers serving, are not considered to be Affiliates. If the Proposer does not have any affiliated and/or subsidiary companies, other than the Proposer’s legal business entity, indicate such on Attachment G - Affiliated and Subsidiary Companies of the Proposer. The Proposer shall not submit more than one Statement of Qualifications for this Project. If more than one Statement of Qualifications is submitted by an individual, partnership, corporation, or any party of a joint venture, then all Statement of Qualifications submitted by that individual, partnership, corporation, or joint venture shall be disqualified. If more than one Statement of Qualifications is submitted by an Affiliate or subsidiary company of an individual, partnership, corporation, or any party of a joint venture, then all Statement of Qualifications submitted by that individual, partnership, corporation, or joint venture shall be disqualified.
- F. Execute and return the attached Certification Regarding Debarment Form, set forth in Attachment H - Certification Regarding Debarment Primary Covered Transactions for the Proposer, Contractor and any subconsultant, subcontractor, or any other person or entity on the Proposer’s organizational chart included in the SOQ. If the Proposer, Contractor and any subconsultant, subcontractor, or any other person or entity are unable to execute the certification, then prospective participant shall attach an explanation to its Certification Regarding Debarment Form. Failure to execute the certification will not necessarily result in denial of award but will be considered in determining the Proposer’s responsibility. Providing false information may result in federal criminal prosecution or administrative sanctions.
- G. Include a letter from a surety or insurance company (with a Best’s Financial Strength Rating of A minus and Financial Size Category VIII or better by A.M. Best Co.) with the SOQ stating that the Proposer is capable of obtaining a performance and payment bond in the amount of \$400,000,000, which bonds will cover the Project and any warranty periods (per the CMGC Contract). The letter of surety shall clearly state the rating categorization noted above and reference the amount mentioned above, in a manner similar to the notation provided below:

“As surety for [the above named Contractor], [XYZ Company] with A.M. Best Financial Strength Rating [rating] and Financial Size Category [Size Category] is capable of obtaining 100% Performance Bond and 100% Payment Bond in the amount of the \$400,000,000, and said bonds will cover the Project and any warranty periods as provided for in the Contract Documents on behalf of the Contractor, in the event that such firm be the successful bidder and enter into a contract for this Project.”

The letter must also specifically state that the surety has reviewed this RFQ and is familiar with the contractual structure and financial structure set forth therein (including the anticipated security requirements described in Section 2.11) and has evaluated the prime/general contractor's backlog and work-in-progress in determining its bonding capacity. Letters stating that the prime/general contractor has "unlimited" bonding capacity are not acceptable. The letter must specify any assumptions regarding the provision of support.

- H. All business entities on the Proposer's proposed team must comply with the law with regard to their organizational structure, any required registration with governmental agencies and/or entities, and any required governmental licensure, whether business, commercial, individual, or professional in nature, and nothing herein is intended to contradict, nor to supersede, State and Federal laws and regulations regarding the same. All business entities on the Proposer's team shall be eligible at the time of their SOQ submittal, under the law and relevant regulations, to offer and to provide any services proposed or related to the Project. All business entities on the Proposer team shall satisfy all commercial and professional registration requirements, including, but not limited to professional license requirements to the extent necessary for the proposed Project. Additionally, each business entity on the Proposer's team should be authorized to do business in the State of Illinois by the Illinois Secretary of State. Full size copies of required licenses and registrations, or evidence indicating the same, should be included in the appendix of the SOQ. Additionally, the following information should be provided on Attachment I - Proposer Licenses and Registrations:

1. The registration information for each business entity on the Proposer's proposed team including name, registration number, type of corporation and status.
2. For this Project, relevant registration and licensing information for each office practicing or offering to practice any professional services in Illinois, including the business name, address, registration type, registration number and expiration date.
3. For this Project, the relevant licensing and registration for each Key Personnel, including the name, the address, type, the registration and/or license number, expiration date and the office location where each Key Personnel member is offering services in Illinois.

Failure to comply with the law with regard to those legal requirements in Illinois (whether federal or state) regarding an Proposer's organizational structure, any required registration with governmental agencies and/or entities, and any required governmental licensure, whether business, individual, or professional in nature may render a Proposer's SOQ, in the sole discretion of IDOT, non-responsive and in that event the Proposer's SOQ may be returned without any consideration or evaluation.

4.3 Evaluation Criteria - Qualifications of Team (50 points)

4.3.1. Team Qualifications

A narrative describing the qualifications of the Proposer's team. The information should address the following:

- A. Management and staff experience, capabilities, and functions on projects of comparable scope and with similar conditions;
- B. The strength and depth of experience of the Key Personnel for the projects listed in Proposer's response;
- C. Effective project management and quality management structure, and approach to interaction with IDOT, the local agencies or other entities;
- D. Experience with timely completion on comparable projects;
- E. Experience with on-budget completion of comparable projects;
- F. Experience with providing constructability input in design development activities;

- G. Experience with utility coordination efforts and conflict resolutions;
- H. Years of experience of Proposer's team performing similar work or duties on relevant projects; and
- I. Actual work examples on comparable projects, including projects, project dates, duties performed and Proposer's percentage of time on the project.

4.3.2. Organization of Proposer Team

A narrative describing the Proposer's teaming arrangements, its management structure and construction manager/general contractor management approach. The narrative should include, at a minimum, a discussion of the following:

- A. How the Proposer team will operate, in light of the complexity and sequencing of the Project;
- B. The experience of the team members working together on other comparable projects and the results of that experience; and
- C. How the management structure will facilitate the management of the Project risks.

Describe the roles of all Key Personnel, Principal Participants, and identified subcontractors. ~~The entity meeting each of the construction prequalification classifications and subclassifications listed in Section 2.7 must be identified. Include what percentage of the named role that the entity is expected to provide.~~

Provide an organizational chart(s) showing the flow of the "chain of command" with lines identifying participants who are responsible for major functions to be performed and their reporting relationships, in managing, designing, and building the Project. The chart(s) must show the functional structure of the organization and must identify Key Personnel by name and position. In addition, the chart should identify the Proposer, and all known Principal Participants in the chart(s).

4.3.3. Proposer Team Communication

The Proposer shall provide information that will show how the Proposer communicates within the Proposer organization, with IDOT, and with others during the execution of the Project. IDOT's request is to have a strong single point of contact who controls the Project during all phases, including preconstruction services and construction. Scoring will favor those Proposers who provide a clear and concise communication approach that incorporates and integrates all components of the Proposer's team (i.e. project manager, construction managers, construction field personnel, construction office personnel, quality assurance, material testing personnel, etc.), and inserts IDOT personnel and other appropriate stakeholders (i.e. local residents and businesses, public agencies) within that communication plan (i.e. process for construction submittals to IDOT, IDOT involvement in quality checkpoints during construction, incorporating IDOT review of changes during construction, public information plan, etc.).

4.3.4. Key Personnel Requirements

Each Proposer shall identify individuals to serve in the roles listed below (the "Key Personnel"). Resumes of Key Personnel shall be provided using the forms provided as Attachment J - Key Personnel Resume Form. Resumes of Key Personnel shall be limited to two pages each and will not be counted towards the overall SOQ page limit. One person may be proposed in more than one Key Personnel position, unless otherwise noted in Section 4.3.6 below. If an individual fills more than one Key Personnel position, only one resume is required. The Key Personnel are the minimum roles required for the Project. Other roles may be added by the Proposer. Proposers may propose alternate plans to staff and manage the Project, which may be approved in IDOT's sole discretion. SOQs with alternate staffing plans are required to have details of the key staff and their roles and responsibilities in a manner similar to the requirements listed below, including their responsibility on the Project and their authority over the preconstruction and construction operations.

SOQs shall include an express written statement from the Proposer committing that the Key Personnel designated

in the SOQ for the positions or roles described in this Section 4 shall be available to serve the role so identified in connection with the Project.

Proposers are advised that additional Key Personnel may be required at the RFP Step.

4.3.5. Key Personnel required for this Project

- A. Project Manager
- B. Construction Manager
- C. Construction Quality Control Manager
- D. Environmental Compliance Manager
- E. Civil Rights Manager
- F. Safety Manager
- G. Lead Estimator
- H. Tunneling Expert

4.3.6. Minimum Qualifications of Key Personnel

Key Personnel will be evaluated, in part, based on the extent they meet and/or exceed minimum qualifications including, but not limited to, relevant education, training, certification, and experience. The following provides minimum qualifications of the Key Personnel assigned to the Project.

A. Project Manager:

The Proposer's Project Manager shall have a minimum of 10 years of recent experience managing the design or construction of highway construction projects of similar scope, complexity and size. The Proposer's Project Manager will be responsible for the overall Preconstruction Phase Services, Construction Phase Services, quality management, and CMGC Contract administration for the Project and will:

- Have full responsibility for the execution of the Work,
- Act as agent and be a single point of contact in all matters on behalf of CMGC during all phases of the Project, including but not limited to Preconstruction Phase Services and Construction Phase Services. This will include assisting/providing the IDOT Project Manager with accurate project information for any inquiries received on an as-needed basis,
- Be available (or the approved designee will be available) at all times that Work is performed,
- Have the authority to bind the CMGC on all matters relating to the Project, and
- Not fulfill any other Key Personnel role.

B. Construction Manager:

The Construction Manager shall have a minimum of 10 years of recent experience managing field operations on highway construction projects of similar scope, complexity, and size.

During the Preconstruction Phase, the Construction Manager will lead CMGC's Preconstruction Phase Services as described in Section 3.1. During the Construction Phase Services, the Construction Manager is responsible for coordinating and overseeing all aspects of construction Work. The Construction Manager must be on site during all construction activities (or the approved designee must be on site). The Construction Manager must work under the direct supervision of Proposer's Project Manager and in addition, shall not fulfill the following Key Personnel roles - Construction Quality Control Manager,

Safety Manager and Tunneling Expert. The Construction Manager is responsible for ensuring that the Project is constructed in accordance with the Project requirements. The Construction Manager is responsible for managing the CMGC construction personnel, scheduling of the construction activities, and administering all construction requirements of the CMGC Contract.

C. Construction Quality Control Manager:

The Construction Quality Control Manager is expected to have a minimum of ~~5~~10 years of recent experience overseeing the inspection and materials testing on highway construction projects of similar scope, complexity, and size.

It shall be the responsibility of the Construction Quality Control Manager to manage the Proposer's construction quality control functions and will:

- Not fulfill any other Key Personnel role.
- Visit the site as necessary to validate construction quality, respond to any quality issues, and report on that visit to the District PM.
- Shall be independent of direct scheduling or production activities and reports directly to the Proposer's Project Manager.
- Be available whenever any construction activities are being performed; and
- Have the authority to stop any and all Work that does not meet the standards, specifications or criteria in accordance with the CMGC Contract.

D. Environmental Compliance Manager:

The Environmental Compliance Manager should have recent experience on projects with similar environmental conditions. During the Preconstruction Phase Services, the Environmental Compliance Manager may review designs to ensure compliance with environmental requirements. During the Construction Phase Services, the Environmental Compliance Manager will be responsible for assuring compliance of all on-site activities with the requirements of all permits and regulatory requirements. The Environmental Compliance Manager shall report directly to IDOT and the Proposer's Project Manager simultaneously. The Environmental Compliance Manager shall have the authority to stop Work that is not in compliance with Project environmental requirements and the CMGC Contract.

E. Civil Rights Manager:

The Civil Rights Manager is expected to be experienced in the successful development, implementation, and monitoring of Disadvantaged Business Enterprise (DBE) Utilization Plan to achieve a Project's DBE goals and comply with equal employment opportunity laws. The Civil Rights Manager will be responsible for all aspects of the DBE Utilization Plan including monitoring the DBE participation with respect to the Project DBE goal.

F. Safety Manager:

Safety Manager will oversee and be responsible for safety on Project site and shall have at least ten (10) years of progressive safety experience, five (5) years of which must be safety management experience, on complex heavy civil projects for the position during the construction period. Additional requirements include designation, as a Construction Health and Safety Technician (CHST) or higher certification issued by the Board of Certified Safety Professionals (BCSP), completion of the OSHA 30-hour Safety and Health Course, training and current certification for cardiopulmonary resuscitation (CPR) and first aid. The Safety Manager shall have recent safety experience with projects including tunneling operations of similar size and complexity. The Safety Manager shall not fulfill any other Key Personnel role.

G. Lead Estimator

The Lead Estimator is responsible for the cost estimating process during the Preconstruction Phase and will be responsible for developing OPCCs and GMPs and participating in key meetings where price, risk and assumptions are discussed. The Lead Estimator shall have a minimum of 10 years of recent experience of preparing cost estimates on projects of similar scope, complexity and size. This person shall be a construction cost estimator with experience assessing price and risk and must be capable of clearly articulating the assumptions used to prepare a construction cost estimate. The Lead Estimator will be charged with maintaining and ensuring that CMGC provides its construction cost estimates in an open book environment. The Lead Estimator, in addition, cannot serve in any other Key Personnel role except the Construction Manager.

H. Tunneling Expert

The Tunneling Expert is responsible for leading tunneling operations during the Construction Phase. The Tunneling Expert shall be on site providing advice during excavation and tunneling operations. The Tunneling Expert should have recent experience with construction methods including open cut, micro tunneling, or tunneling boring machine (TBM) operations in projects of similar size and complexity. During the Preconstruction Phase, the Tunneling Expert will provide constructability input regarding tunneling operations into design development. The Tunneling Expert shall not fulfill any other Key Personnel role.

4.3.7. Approach to Ensuring Safety

The Proposer shall provide a summary to include the Proposers' safety philosophy, examples of successfully implemented safety management programs or innovations, and expand on safety experience working on projects of similar size and scope. The Proposer shall provide the following information as shown in the following table as provided to OSHA for calendar years 2024, 2023, 2022, 2021 and 2020.

Year	Industry	NAICS code	Total recordable cases	Cases with days away from work, job transfer, or restriction			Other recordable cases
				Total	Cases with days away from work	Cases with job transfer or restriction	
2024							
2023							
2022							
2021							
2020							

4.4 Evaluation Criteria - Proposer Experience (40 points)

Using Attachment L - Work History Form, describe at least two, but a maximum of four, projects the Proposer has completed or participated in (if the Proposer is not yet existing or is newly formed, please explain) and at least two, but a maximum of four, projects each listed Principal Participant or Key Subcontractor has managed, and/or constructed. For projects in which several of the proposed Principal Participants or Key Subcontractors were involved, the Proposer may provide a single project description. Highlight experience relevant to the Project, the

Proposer/Principal Participants or Key Subcontractors have gained in the last ~~five~~ten years. Cite projects with levels of scope comparable to that anticipated for the Project. Also consider citing projects where construction duration is minimized, completion schedules were kept, and original design and construction budgets were not increased. Describe the experiences that could apply to this Project. ~~The experience of the Proposer will account for 20 or more of the points out of the 40 points available in this category. The experience of the Principal Participants will account for a maximum of 20 points out of the 40 points available in this category.~~ If some Principal Participants are unknown at the time the SOQ is submitted, the Proposer's plan (see Section 4.3.1) for obtaining the firm for this area of work will be considered.

Each project description should include the following information:

- A. Name of the project and the owner's contact information (current phone number and e-mail address);
- B. Name of the Principal Participant or Key Subcontractors;
- C. Owner's project manager and their current telephone number and email;
- D. Description of the Work or services provided and percentage of the overall project actually performed;
- E. Description of scheduled completion deadlines and actual completion dates; and
- F. Original budget and final cost.

IDOT may elect to use the information provided above as a reference check.

4.5 Evaluation Criteria - Team Diversity & Community Engagement (10 points)

IDOT's objective is to evaluate the past performance of a Proposer's commitment to diversity and community engagement. The Proposer will provide a narrative (two-page maximum) describing the team's previous efforts to promote diversity, inclusion and outreach to communities affected by Proposer's previous projects. This includes providing opportunities to disadvantaged business enterprises, minority, women-owned, and veteran-owned companies and community organizations. This also may include leading initiatives to capturing outcomes of increased awareness, understanding or promoting diversity, implementing steps toward fostering diversity in the workplace with programs that maximize the potential of all people or creating partnerships with community organizations or local businesses to enhance the quality of life within effected neighborhoods.

4.6 Conflicts of Interest and Financial Viability

4.6.1. Organizational Conflicts of Interest

Identify all relevant facts relating to past, present or planned interest(s) of the Proposer's team (including the Proposer, Principal Participants, proposed consultants, subcontractors, and their respective chief executives, directors and the Key Personnel) which may result, or could be viewed as, an organizational conflict of interest in connection with the Project and this RFQ.

Disclose: (a) any current contractual relationships with IDOT (by identifying the IDOT contract number and project manager) that may result in, or could be viewed as a potential conflict of interest on this Project; (b) present or planned contractual or employment relationships with any current IDOT employee; and (c) any other circumstances that might be considered to create a financial interest in the CMGC Contract for the Project by any current IDOT employee if the Proposer is awarded the CMGC Contract. The foregoing is provided by way of example and shall not constitute a limitation on the disclosure obligations.

For any fact, relationship or circumstance disclosed in response to this Section 4.6.1 identify steps that have been or will be taken to avoid, neutralize or mitigate any organizational conflicts of interest.

In cases where Principal Participants on different Proposer teams belong to the same parent company, each Proposer shall describe how the participants would avoid conflicts of interest through the RFQ Step and RFP Step

of the Project.

The required information for Organizational Conflicts of Interest shall be submitted using the Conflict of Interest Statement in Attachment C - Conflict of Interest Disclosure.

4.6.2. Financial Viability

The Proposers shall supply Attachment K - Affidavit of Availability (BC 57) with their SOQ which will be used by IDOT to verify the financial viability of Proposers. Proposers may submit an updated BC 57 with their SOQ or furnish a copy of their existing BC 57 on file with IDOT. The Affidavit of Availability (BC 57) form can be downloaded from the following website: <https://idot.illinois.gov/resources/forms.html>.

5. SOQ SUBMITTAL REQUIREMENTS

This Section 5 describes requirements that all Proposers shall satisfy in submitting SOQs. Failure of any Proposer to submit their SOQ as required in this RFQ may result in rejection of its SOQ.

5.1 Due Date, Time, and Location

SOQs are due on the date and time listed in Section 1.2. Any SOQ that fails to meet the deadline or delivery requirement will be rejected without opening, consideration or evaluation.

SOQs shall be delivered via email to the IDOT Procurement Manager identified in Section 2.2.1. The e-mail with SOQs attached shall have the subject line of “**SOQ - I-290 Drainage Improvement Project**”. IDOT will not accept SOQs by any other means of delivery.

5.2 Format and Content

All SOQs shall comply with the following:

- A. Provide a Portable Document File (PDF) that is bookmarked. The maximum file size allowable for emailing is 20 megabytes (MB). The subject of the email shall be “**SOQ - I-290 Drainage Improvement Project**”.
- B. The SOQ shall not exceed 15 pages. Refer to Attachment F – Statement of Qualifications Checklist and Contents for what is included in the 15-page limit. IDOT will not consider pages in excess of the stipulated page limit. Animated videos and motion pictures are prohibited.
- C. The SOQ shall be prepared using a 8.5” x 11” page size. Attachment L - Work History Form and organizational chart shall be prepared on 11” x 17” format.

All fonts, except for the front cover of the SOQ, shall be Times New Roman, with a font of 12-point. Times New Roman 10-point font may be used for filling out information on Attachment J - Key Personnel Resume Form, and Attachment L - Work History Form.

The format and appearance, including existing text, of Attachment J - Key Personnel Resume Form, and Attachment L - Work History Forms should not be modified.

Attachment J - Key Personnel Resume Form shall not exceed two (2) pages for each Key Personnel, which shall not be included in the 15-page limit.

Attachment L - Work History Form shall not exceed one (1) page per project, which shall not be included in the 15-page limit.

The SOQ shall be separated by numbered tabs with sections corresponding to the order set forth in this Section 5. Information on the numbered tabs shall be limited to the section number and section title. The numbered tabs separating each section shall not count against the referenced page limit, provided that no information other than the section number and section title is included on them.

- D. ~~Graphics, photos~~Photos, watermarks, or project specific information are not permitted. Proposer generated project specific graphics may be included at the Proposer’s discretion and will be counted within the relevant page limits stated in this RFQ. Captions for graphics may be Times New Roman 10-point font.

The Statement of Qualifications shall include:

1. The Letter of Submittal (Section 4.2) addressed to the Procurement Manager identified in Section 2.2.1
2. Qualifications, Experience, and Disclosures
 - a. Qualification of Team (Section 4.3)
 - b. Proposer Experience (Section 4.4)
 - c. Team Diversity and Community Engagement (Section 4.5)
3. Attachment C – Conflict of Interest Disclosure
4. Attachment F - Statement of Qualifications Checklist and Contents
5. Attachment G - Affiliated and Subsidiary Companies of the Proposer
6. Attachment H - Certification Regarding Debarment Primary Covered Transactions
7. Attachment I - Proposer Licenses and Registrations
8. Attachment J - Key Personnel Resume Forms
9. Attachment K – Affidavit of Availability (BC 57)
10. Attachment L - Work History Forms
11. Attachment M - Acknowledgement of RFQ Revision and/or Addenda

6. EVALUATION PROCESS

6.1 Responsiveness Review

Upon receipt of an SOQ, IDOT will perform a responsiveness review to ensure the SOQ was completed in accordance with this RFQ. This will include verifying compliance with applicable governmental registrations and licensing requirements as outlined in this RFQ, including prequalification requirements as outlined in Section 2.7. This review will focus on whether each SOQ meets administrative responsiveness requirements; containing all necessary pages and mandatory forms and answers all necessary parts. This review may not identify other non-responsive issues that may arise during the subsequent technical evaluation by IDOT. Correction of minor clerical or administrative errors may be permitted in order for a Proposer to remedy non-responsiveness.

IDOT may request from a Proposer during the responsiveness review that they clarify, correct, or remedy an omission in their SOQ. Any SOQ that is determined to be non-responsive will be returned to the Proposer by IDOT with a written notification stating the reason(s) for non-responsiveness.

6.2 Technical Evaluation

IDOT will evaluate all responsive SOQs and measure each Proposer's response against the Project goals and evaluation criteria set forth in this RFQ, resulting in a numerical score for each SOQ. The scoring will be distributed as described in Section 4 and summarized below:

- A. Qualifications of Team (50 Points)
- B. Proposer Experience (40 Points)
- E. Team Diversity and Community Engagement (10 Points)

6.3 Determining Shortlisted Proposers

IDOT will total the scores for each responsive SOQ and prepare a shortlist of the top ranked Proposers. IDOT intends to shortlist two (2) to five (5) of the most qualified Proposers.

IDOT reserves the right, in its sole discretion, to cancel this RFQ, issue a new RFQ, reject any or all SOQs, seek or obtain data from any source that has the potential to improve the understanding and evaluation of the responses to this RFQ, seek and receive clarifications to an SOQ and waive any deficiencies, irregularities or technicalities in considering and evaluating the SOQs.

This RFQ does not commit IDOT to enter into a contract or proceed with the procurement of the Project. IDOT assumes no obligations, responsibilities, and liabilities, fiscal or otherwise, to reimburse all or part of the costs incurred by the parties responding to this RFQ. All such costs shall be borne solely by each Proposer.

6.4 Notification of Shortlisting

Shortlisted teams will have their Proposer's names posted on Innovative Project Delivery (IPD) Procurements Website, which will serve as the shortlisting announcement. However, the SOQ score will not be shared with the shortlisted Proposer until the execution of CMGC Contract. See Attachment D – Example Notice of Shortlisting Results for an example of the shortlisting announcement.

Informal discussions shall be initiated by a written request for a one-on-one meeting delivered to the IDOT Procurement Manager at the email address mentioned in Section 2.2.1. The written request shall include an agenda for the proposed one-on-one meeting. IDOT will meet with the Proposer as soon as practicable to discuss the nature of the discussion.

If necessary to address the issues raised in a protest pursuant to Section 8.1 and before the SOQ submission in accordance with Section 8.3, IDOT may, in its discretion, make appropriate revisions to the RFQ documents by issuing addenda.

6.5 Debriefing

Feedback, in the form of a formal debriefing, may be provided via face-to-face meeting, phone or email at the discretion of the IDOT Procurement Manager. However, any feedback will not be provided until after the execution of the CMGC Contract.

7. PROCUREMENT – RFP STEP

This Section 7 is provided for informational purposes only so that each Proposer has information that describes the second step of the Project procurement process, the RFP Step, including a summary of certain anticipated RFP Step requirements. IDOT reserves the right to make changes to the following, and the shortlisted Proposers shall only rely on the actual RFP if and when it is issued. This Section 7 does not contain requirements related to the SOQ. The IDOT District Project Manager (District PM) responsible for the design and construction aspects of the Project will be Suleyman Tulgar.

7.1 Request for Proposals

The shortlisted Proposers following the RFQ Step of the procurement process will be eligible to move to the RFP Step. Only shortlisted Proposers will be allowed to submit a Proposal in response to the RFP and IDOT may choose to make the RFP available exclusively to those shortlisted Proposers.

7.2 RFP Structure

The RFP will be structured as follows:

- A. Instructions to Proposers
- B. Contract Documents
 - i. Book 1
 - Part 1 – Project Specific Terms
 - Part 2 – General Requirements and Covenants for CMGC Project Delivery
 - Part 3 – Contract Exhibits
 - ii. Book 2
 - Part 4 – Technical Requirements
 - Part 5 – Amendments to Division 200 – 1100
- C. RIDs
- D. PIDs

7.3 Proposal Evaluations

IDOT intends to award a CMGC Contract for the Project to the shortlisted Proposer offering the highest ranked, responsive Proposal, to be determined as described in the RFP. A shortlisted Proposer's SOQ score will be included as part of the evaluation criteria for the RFP. However, the SOQ score will not be shared with the shortlisted Proposer until the execution of the CMGC Contract.

8. PROTESTS PROCEDURES

8.1 Applicability

This Section 8 sets forth the exclusive protest remedies available to Proposers with respect to this RFQ and prescribes exclusive procedures for protests regarding issues concerning fraud, corruption or illegal acts undermining the objectives and integrity of the procurement process. Section 8 does not apply to issues of prequalification, lack of Proposer responsibility, suspension or debarment.

No protest with respect to this RFQ may be filed except by a Proposer pursuant to this Section 8.

8.2 Required Early Communication for Certain Protests

Protests concerning the issues described in Section 8.1 may be filed only after the Proposer has informally discussed the nature and basis of the protest with IDOT in accordance with the procedures prescribed in this Section 8.

8.3 Deadline for Protests

All protests shall be in writing and filed with the IDOT Chief Procurement Officer (the “CPO”) within 7 calendar days after the protester knows or should have known of the facts giving rise to the protest. Protests filed after the 7-calendar day period will not be considered. In addition, protests that raise issues of fraud, corruption or illegal acts affecting the content of the RFQ must be received by the CPO no later than 14 calendar days before the SOQ due date as identified in the RFQ or any addendum to the RFQ.

8.4 Content of Protest

Protests filed under Section 8.3 shall provide the following:

1. The name, address, telephone and facsimile numbers of the protester.
2. The identification of the procurement or solicitation that is the subject of the protest.
3. All information establishing that the protester is an interested party.
4. A detailed statement of the factual and legal grounds of the protest, including all relevant documents and exhibits that demonstrate fraud, corruption or illegal acts having the effect of undermining the integrity of the procurement process.
5. All information establishing the timeliness of the protest.
6. The signature of the protester.

8.5 Filing of Protest

Protests shall be submitted in an envelope clearly labeled “Protest,” delivered by hand or express courier on or before the deadline to the attention of the CPO at the Illinois Department of Transportation, Hanley Building, Room 200, 2300 South Dirksen Parkway, Springfield, Illinois, 62764, with copies to the IDOT Procurement Manager and to IDOT’s Chief Counsel at the Illinois Department of Transportation, Hanley Building, Room 313, 2300 South Dirksen Parkway, Springfield, Illinois, 62764.

8.6 Burden of Proof

The protestant shall have the burden of proving its protest by clear and convincing evidence. IDOT and the CPO may, in their discretion, discuss the protest with the protestant and other Proposers in accordance with applicable law. No hearing will be held on the protest. The protest shall be decided on the basis of written submissions.

8.7 Decision on Protest

The CPO or his or her designee will issue a written decision regarding the protest as expeditiously as possible after the filing of the detailed statement of protest under Section 8.4 and receipt of all relevant information. The decision of the CPO is final and conclusive unless clearly erroneous, arbitrary, capricious or contrary to law (see Section 20-75 of the Illinois Procurement Code). A copy of the decision of the CPO will be transmitted to the protester within 14 days after the decision is entered. If the protest is sustained, the remedies available are limited to cancellation or revision of the solicitation, or readvertisement of the solicitation. Relief available does not include award of the contract to the protester.

8.8 Rights and Obligations of Proposers

Each Proposer, by submitting its SOQ, expressly recognizes and agrees to the limitation on its rights to protest provided in this Section 8, expressly waives all other rights and remedies that may be available to the Proposer under law, and agrees that the decision by the CPO on the protest is final and conclusive. These provisions are included in this RFQ expressly in consideration for such waiver and agreement by the Proposers.

If a Proposer disregards, disputes, or does not follow the exclusive protest remedies provided in this Section 8, it shall indemnify and hold IDOT, its officers, employees, agents, and consultants harmless from and against all liabilities, fees, and costs, including legal and consultant fees and costs, and damages incurred or suffered as a result of such Proposer's actions. Each Proposer, by submitting an SOQ, shall be deemed to have irrevocably and unconditionally agreed to this indemnity obligation.

9. RIGHTS AND OBLIGATIONS OF IDOT

9.1 Reservation Rights

In connection with this procurement, IDOT reserves to itself all rights (which rights shall be exercisable by IDOT in its sole discretion) available to it under applicable law, including without limitation, the following, with or without cause and with or without notice:

- A. The right to cancel, withdraw, postpone, or extend this RFQ or the subsequent RFP in whole or in part at any time prior to the execution by IDOT of a CMGC Contract, without incurring any obligations or liabilities.
- B. The right to issue a new RFQ.
- C. The right to reject any and all submittals, responses and Proposals received at any time.
- D. The right to modify all dates set or projected in this RFQ.
- E. The right to terminate evaluations of SOQs and responses received at any time.
- F. The right to suspend and terminate the procurement process for the Project, at any time.
- G. The right to waive or permit corrections to data submitted with any response to this RFQ until such time as IDOT declares in writing that a particular stage or step of its review of the responses to this RFQ has been completed and closed.
- H. The right to issue addenda, supplements, and modifications to this RFQ, including but not limited to modifications of evaluation criteria or methodology and weighting of evaluation criteria.
- I. The right to permit submittal of addenda and supplements to data previously provided with any response to this RFQ until such time as IDOT declares in writing that a particular stage or step of its review of the responses to this RFQ has been completed and closed.
- J. The right to hold meetings and conduct discussions and correspondence with one or more of the Proposers responding to this RFQ to seek an improved understanding and evaluation of the responses to this RFQ.
- K. The right to seek or obtain data from any source that has the potential to improve the understanding and evaluation of the responses to the RFQ, including the right to seek clarifications from Proposers.
- L. The right to permit Proposers to add or delete firms and/or Key Personnel until such time as IDOT declares in writing that a particular stage or step of its review has been completed and closed.
- M. The right to add or delete Proposer responsibilities from the information contained in this RFQ or the subsequent RFP.
- N. The right to appoint and change appointees of any Evaluation Committee.
- O. The right to use assistance of technical and legal experts and consultants in the evaluation process.
- P. The right to waive deficiencies, informalities, and irregularities in an SOQ, accept and review a non-conforming SOQ or seek clarifications or supplements to an SOQ.
- Q. The right to disqualify any Proposer that changes its submittal without IDOT approval.
- R. The right to change the method of award between the advertisement of the RFQ and the advertisement of the RFP.
- S. The right to respond to all, some, or none of the inquiries, questions and/or requests for clarification received relative to the RFQ.

This RFQ does not commit or bind IDOT or any agency or instrumentality of the State to enter into a CMGC Contract or proceed with the procurement described herein. IDOT and the State of Illinois assume no obligations, responsibilities, or liabilities, fiscal or otherwise, to reimburse all or part of the costs incurred or alleged to have been incurred by parties considering a response to or responding to this RFQ or any subsequent RFP. All such costs shall be borne solely by each Proposer.

In no event shall IDOT be bound by or liable for any obligations with respect to the Project until such time (if at all) as a CMGC Contract, in form and substance satisfactory to IDOT, has been executed and authorized by IDOT, and then only to the extent set forth therein.

IDOT may, in its sole discretion, disqualify the Proposer from further consideration for the award of the CMGC Contract if it is found after due notice and examination by IDOT that there is a violation of Article 50 of the Illinois Procurement Code (30 ILCS 500/50 et seq.), or any similar statute involving the Proposer in the procurement of the CMGC Contract.

10. ADMINISTRATIVE REQUIREMENTS

In addition to the specific submittal requirements set forth in Section 4 above, all Proposers shall comply with the following:

- A. All Proposers must at the time of their SOQ submittal comply with the law and nothing herein is intended to contradict, nor supersede, any applicable State and Federal laws and regulations. All Proposers shall be eligible at the time of their SOQ submittal, under the law and relevant regulations, to offer and to provide all services proposed and related to the Project. Unless exempted by Section 3 of the Professional Engineering Practice Act of 1989 (225 ILCS 325/3), Section 7 of the Illinois Architecture Practice Act of 1989 (225 ILCS 305/7), Section 3 of the Structural Engineering Practice Act of 1989 (225 ILCS 340/3), and Section 25 of the Illinois Professional Land Surveyor Act of 1989 (225 ILCS 330/25), any person, partnership, corporation, or other entity offering or practicing architecture, engineering, or land surveying shall be registered or licensed in accordance with the Professional Engineering Practice Act of 1989 (225 ILCS 325/1 et seq.), the Illinois Architecture Practice Act of 1989 (225 ILCS 305/1 et seq.), the Structural Engineering Practice Act of 1989 (225 ILCS 340/1 et seq.), and the Illinois Professional Land Surveyor Act of 1989 (225 ILCS 330/1 et seq.). Proposers shall satisfy at the time of their SOQ submittal all commercial and professional registration requirements in accordance with Illinois law.
- B. IDOT will not consider for award any Proposals submitted by any Proposer and will not consent to subcontracting any portions of the proposed CMGC Contract to any subconsultants in violation of the provisions of the Federal Immigration Reform and Control Act of 1986, which prohibits employment of illegal aliens.
- C. All Proposers must have internal control systems in place that meet federal requirements for accounting. These systems must comply with requirements of 48 CFR Part 31, "Federal Acquisition Regulations, Contract Cost Principles and Procedures," and 23 CFR Part 172, "Administration of Engineering and Design Related Service Contracts."
- D. Proposers must comply with Title VI of the Civil Rights Act of 1964, as amended. The consultant and all subconsultants selected for this Project will be required to submit a Title VI Evaluation Report (EEO-D2) when requested by IDOT to respond to the RFP. This requirement applies to all consulting firms with fifteen (15) or more employees.
- E. IDOT does not discriminate against a Proposer because of race, religion, color, sex, national origin, age, disability, or any other basis prohibited by state law relating to discrimination in employment. The Proposer shall note and comply with Section 2-105 of the Illinois Human Rights Act (775 ILCS 5/ et seq.) in state contracting and public services. The Proposer shall maintain a non-discrimination policy, which prohibits discrimination by the Proposer on the basis of race, sex, color, national origin, religion, sexual orientation, gender identity, age, political affiliation, disability, or veteran status. This policy shall be followed in all employment practices, subcontracting practices, and delivery of goods or services.
- F. Proposers are prohibited to access IDOT right of way and private property within the project limits to perform any activities other than to observe the conditions of the site, unless otherwise approved in writing by the IDOT Procurement Manager. If any member of the Proposer's team has access to geotechnical investigations/information/evaluations or any exploratory borings conducted within the project limits prior to release of RFQ, they shall disclose such information to IDOT Procurement Manager for determination of how this information would affect the opportunity of that entity to participate in the Project procurement. Furthermore, the Proposers are on notice that any unauthorized access to IDOT right of way and private property within the Project may be considered sufficient for the disqualification of the Proposer or may render the Proposer's SOQ non-responsive or both.

11. DISADVANTAGED BUSINESS ENTERPRISES (DBEs)

It is the policy of IDOT to encourage the participation of DBEs, minority- and women-owned firms consistent with applicable laws and regulations. DBE program requirements will be applied to the design and construction of the Project in accordance with 49 CFR Part 26, as well as the federal contractual requirements set forth in FHWA Form 1273. Please visit the following webpage for information regarding IDOT's Office of Workforce and Diversity, IDOT's overall federal DBE goal, the Illinois UCP Directory of DBE firms, and IDOT's DBE Supportive Services Program: <http://www.idot.illinois.gov/doing-business/certifications/disadvantaged-business-enterprise-certification/index>.

Proposers to this RFQ are not required to identify Illinois-certified DBE firms in the SOQ. The anticipated overall DBE utilization goal for this Project will be provided to the shortlisted Proposers during the RFP Step. Specific DBE utilization requirements and goals for the Project will be detailed in the RFP and CMGC Contract. Among other things, the RFP will require each Proposer to submit as part of its Proposal, a DBE Utilization Plan for proactively promoting, managing, and monitoring DBE opportunities. IDOT's approval of the Proposer's final DBE Utilization Plan will be a condition precedent to commencement of applicable Work.

During this procurement, IDOT may host one or more outreach events for DBEs and other firms interested in bidding on Work for the Project. Event information, including instructions for registration, will be posted to the Innovative Project Delivery (IPD) Procurements Website. Proposers are encouraged to attend these events to connect with Illinois-certified DBE firms and other industry firms. In addition, the RFP will require the CMGC to perform its own outreach and other activities to facilitate DBE participation in the Project in accordance with the DBE Utilization Plan. Each Proposer will be required to certify that it will meet, or will exercise good faith efforts to meet, the DBE utilization goals for the Project, and the CMGC will be required to provide DBE commitments in the form required by IDOT as its DBE subcontractors are identified.

A DBE firm certified by another state may apply for DBE certification with the State of Illinois, though the Illinois DBE certification process could take up to six months.

12. MISCELLANEOUS

12.1 Illinois Freedom of Information Act

Proposer Submittals are Subject to Public Disclosure

- A. After the execution of the CMGC Contract, all documents submitted by Proposers to IDOT, including Proposer comments and questions and the SOQs, are subject to the Illinois Freedom of Information Act, 5 ILCS 140/1 et seq. (the “Illinois FOIA”).
- B. Additionally, information submitted by Proposers may be made available to FHWA representatives. IDOT intends to follow procedures established by FHWA to avoid disclosure, to the extent possible, of such information under the federal Freedom of Information Act.
- C. Under Section 7 of the Illinois FOIA, certain public records may be exempt from the Illinois FOIA. This includes, but is not limited to, private information (as defined in Section 2(c-5) of the Illinois FOIA), as well as trade secrets and commercial or financial information obtained from a person or business where the trade secrets or commercial or financial information are furnished under a claim that they are proprietary, privileged or confidential, and disclosure of the trade secrets or commercial or financial information would cause competitive harm to the person or business, but only insofar as this claim directly applies to the records requested under the Illinois FOIA.
- D. Proposers are encouraged to familiarize themselves with the Illinois FOIA, the federal Freedom of Information Act and any other laws and regulations applicable to the disclosure of documents submitted in connection with this RFQ and to the issue of confidentiality and public information (collectively, the “Public Records Laws”). IDOT will not advise a Proposer as to the nature or content of documents entitled to protection from disclosure under the Public Records Laws, as to the interpretation of such laws, or as to the definitions of proprietary, privileged, or confidential trade secrets or commercial or financial information. The Proposer shall be solely responsible for all determinations made by it under applicable laws. Each Proposer is advised to contact its own legal counsel concerning the effect of applicable laws on that Proposer’s own circumstances.
- E. In the event of any proceeding or litigation concerning the disclosure of any SOQ, portion thereof, or related submittal, the Proposer shall be responsible for prosecuting or defending any action concerning the materials at its sole expense and risk; provided, however, that IDOT reserves the right, in its sole discretion, to intervene or participate in the litigation in such manner as it deems necessary or desirable. All costs and fees (including attorneys’ fees and costs) incurred by IDOT in connection with any litigation, proceeding or request for disclosure shall be reimbursed and paid by the Proposer whose SOQ or related submittal is the subject thereof.
- F. Nothing contained in this RFQ shall modify or change IDOT’s obligations under the Illinois FOIA or other applicable Law.

12.2 Confidential Contents

- A. If a Proposer submits any information that it deems to be trade secrets or commercial or financial information that is proprietary, privileged or confidential, disclosure of which would cause competitive harm, or information otherwise protected from disclosure, whether in the SOQ, RFC or otherwise, the Proposer shall specifically and conspicuously mark such information “CONFIDENTIAL” in the header or footer of each affected page. Blanket designations that do not specifically identify the confidential information shall not be acceptable and may be cause for IDOT to treat the entire document as public information.
- B. In addition, any information marked “CONFIDENTIAL” shall be accompanied by a separate statement

justifying its assessment. As noted in Section 12.1, denials of public records requests under Illinois FOIA may be subject to review by the Public Access Counselor established in the Office of the Illinois Attorney General and may be subject to judicial review. Additional information provided by the Proposer regarding the materials it deems to be proprietary, privileged, or confidential may be useful for purposes of any such review.

- C. In no event will IDOT or any of its agents, representatives, consultants, directors, officers, or employees be responsible or liable to a Proposer, Proposer team member or any other party as a result of the disclosure of all or any portion of an SOQ, RFC, or any other information submitted in response to this RFQ, including materials marked “CONFIDENTIAL,” whether the disclosure is deemed required by Law, by determination of the IDOT FOIA Officer, an order of the Public Access Counselor or court order, or occurs through inadvertence, mistake, or negligence on the part of IDOT or its agents, representatives, officers, employees, contractors, or consultants.

12.3 Compliance with the Law in Illinois

Failure to comply with the law with regard to those legal requirements in Illinois (whether federal or state) regarding a Proposer’s ability to lawfully offer and perform any services proposed or related to the Project may render a Proposer’s SOQ submittal or RFP Proposal, in the sole and reasonable discretion of IDOT, non-responsive and/or non-responsible, and in that event an Proposer’s SOQ submittal or RFP submittal may be returned without any consideration or evaluation for selection of contract award.

Exhibit 1 – Definitions and Acronyms

EXHIBIT 1
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
DEFINITIONS AND ACRONYMS

“Act” has the meaning given to it in Section 1.1.

“Affiliate” means any business entity that is closely associated to another business entity so that one entity controls or has power to control the other entity either directly or indirectly as further defined in Section 4.2(E).

“Chief Procurement Officer” (CPO) means the individual from IDOT responsible for overseeing all construction related procurement activity at IDOT.

“Civil Rights Manager” means the individual from the Proposer's organization responsible for all aspects of the DBE Engagement Plan including monitoring the DBE participation as defined in Section 4.3.6(E).

“CMGC Contract” means the Contract between IDOT and the CMGC for Preconstruction Phase Services and Construction Phase Services as defined in Section 1.1.

“CMGC Delivery” means the construction manager/general contractor delivery method used to deliver the Project.

“Construction Manager” means the individual from the Proposer's organization responsible for ensuring that the Project is constructed in accordance with the CMGC Contract requirements as defined in Section 4.3.6(B).

Construction Phase Services” has the meaning given to it in Section 1.1.

“Construction Quality Control Manager” means the individual from the Proposer's organization responsible for managing the Proposer's construction Quality Control functions as defined in Section 4.3.6(C).

“CMGC” or “Contractor” means the construction manager/general contractor or Proposer that has entered into a CMGC Contract with IDOT as defined under Section 1.1 and in accordance with the CMGC Contract.

“Designated Contact” means the individual designated by a Proposer as the point of contact for communications with IDOT during the procurement.

“Disadvantaged Business Enterprise(s) (DBE)” means as defined in Title 49 Code of Federal Regulations Part 26, as amended, and the Business Enterprise for Minorities, Women, and Persons with Disabilities Act (30 ILCS 575/4).

“Disadvantaged Business Enterprise (s) (DBE) Utilization Plan” means the Contractor's approach that will be implemented to achieve the DBE goals as defined in Section 2.14.

“District Project Manager” (District PM) has the meaning given to it in Section 7.

“Environmental Compliance Manager” means the individual from the Proposer's organization responsible for assuring compliance of all on-site activities with the requirements of all permits and regulatory requirements as defined in Section 4.3.6(D).

“FHWA” means the United States Department of Transportation Federal Highway Administration.

“GMP Amendment” means an amendment establishing the GMP and satisfying the requirements set forth in the CMGC Contract.

“GMP Proposal” means the proposal submitted by CMGC during the Preconstruction Phase which includes the GMP for the Construction Phase Services.

“Guaranteed Maximum Price” (GMP) means a not-to-exceed fixed price for constructing the Project under a CMGC Contract as defined in Section 1.1.

“I-290 Corridor Project” means the wider improvements being undertaken by IDOT in the I-290 corridor, specifically the 13-mile segment of I-290 between I-94 and the I-88/I-294 interchange.

EXHIBIT 1
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
DEFINITIONS AND ACRONYMS

“IDOT Procurement Manager” means the individual from IDOT responsible for overseeing all procurement related activities and serving as the single point of contact during the procurement phase for all communication between Proposers and IDOT as identified in Section 2.2.1.

“Innovative Project Delivery (IPD) Procurements website” means the IDOT webpage where all procurement documents will be posted as defined in Section 2.2.1.

“Illinois Department of Transportation (IDOT or the Department)” means the agency within the State of Illinois charged with the construction and maintenance of state-maintained public roadways and provides funding for rail, public transit, and airport projects and administers fuel tax and federal funding to local jurisdictions.

“Illinois FOIA” means the Illinois Freedom of Information Act, 5 ILCS 140/1 et seq.

“Illinois Procurement Code” means the codified General Provisions of Illinois Statutes under 30 ILCS 500.

“Illinois Public Construction Bond Act” means the codified General Provision of Illinois Statutes under 30 ILCS 550/0.01 et seq.

“Key Personnel” means the individuals from the Proposer's organization who will serve in key lead roles, with minimum qualifications as defined in Section 4.3.6.

“Key Subcontractor” means a subcontractor that will perform 10% or greater of the construction value.

“Lead Estimator” means the individual from the Proposer's organization responsible for cost estimation activities during the Preconstruction Phase as defined in Section 4.3.6(G).

“Letter of Submittal” means a component of the SOQ containing information defining the legal entity of the Proposer and its Affiliates together with certain required certifications and commitments as described in Section 4.2.

“NTP1” means the authorization granted by IDOT to CMGC to commence with the Preconstruction Phase Services.

“NTP2” means the authorization granted by IDOT to the CMGC to commence with Construction Phase Services.

“Preconstruction Phase Services” has the meaning given to it in Section 1.1.

“Opinion of Probable Construction Cost” (OPCC) means CMGC's construction cost estimates developed and updated during the Preconstruction Phase. This is a standard estimate based on quantity takeoffs of line-item quantities multiplied by unit rates.

“Preliminary Reference Information Documents” (RIDs) means those reference documents as listed in Attachment B to this RFQ.

“Principal Officer” means the individual from the Proposer's organization who will serve as the authorized officer of the Proposer with signature authority over contracts and other legal instruments (e.g., President, Treasurer, Chairperson of the Board of Directors, etc.).

“Principal Participant” means any of the following entities: (i) any joint venture, partner, or firm holding an interest in the Contractor if the Contractor is a joint venture, partnership, or other form of unincorporated legal entity; (ii) any person or firm holding (directly or indirectly) a 15% or greater interest in the Contractor if the Contractor is a corporation, limited liability company, or other form of incorporated legal entity; or (iii) parent company or Affiliate of the Contractor or another Principal Participant that will provide financial support to such firm (guarantor) to meet the financial obligations of the CMGC Contract.

“Procurement Schedule” has the meaning given to it in Section 1.2.

EXHIBIT 1
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
DEFINITIONS AND ACRONYMS

“Project” means the facility to be constructed under this CMGC Contract.

“Proposal” means the Proposer's submittal in response to a RFP containing its technical and financial approach to develop the Project, inclusive of all information and documents required in the RFP.

“Proposer” or “Proposers” means those entities or groups interested in submitting Statements of Qualifications for the management and construction of the Project.

“Project Information Documents” (“PIDs) means those documents listed in Attachment N to this RFQ.

“Project Manager” means the individual from the Proposer's organization who will serve as the single point of contact for the project work and will be responsible for the overall preconstruction services, construction, quality management and CMGC Contract administration for the Project as described in Section 4.3.6(A).

“Public Records Laws” has the meaning given to it in Section 12.1.

“Related Entities” means any entity that is a parent, Affiliate, or subsidiary, at any tier, of any of the foregoing entities, or that is under common ownership, control, or management with any of the foregoing entities (“Related Entities” of the foregoing) may also be precluded from participating on or advising a Proposer team due to an organizational conflict of interest.

“Request for Clarification (RFC)” means a Proposer's written request for clarification regarding this RFQ, as described in Section 2.3.

“Request for Clarification (RFC) Submittal Form” means the form in Attachment O that is posted to the IDOT Innovative Project Delivery (IPD) Procurements Website for purposes of submitting RFCs in response to this RFQ.

“Request for Proposals (RFP)” means a Project announcement by IDOT indicating that Proposals for a Project are sought. The RFP describes the Project, its goals, the Proposal process, and contract terms. The RFP will not be available to the public; only shortlisted Proposers will receive the RFP and will be allowed to submit a Proposal in response to the RFP.

“Request for Qualifications” (RFQ) means a Project announcement posted publicly by IDOT indicating that qualifications for the Project are sought. The RFQ refers to the pre-qualification stage of the procurement process. Only those proponents who successfully respond to the RFQ and meet the qualification criteria may be included in the subsequent RFP process.

“RFP Step” means the period of time from issuance of the RFP to notification and award of the CMGC Contract when shortlisted Proposers prepare and submit their Proposals to IDOT for evaluation and scoring.

“RFQ Step” means the period of time from issuance of the RFQ to notification of the shortlisted Proposers when Proposers prepare and submit their SOQs to IDOT for evaluation and scoring.

“Safety Manager” means the individual from the Proposer's organization responsible for achieving the safety goals of the Project with the minimum qualification as defined in Section 4.3.6(F).

“Secretary” means the Illinois Secretary of Transportation.

“State” refers to the State of Illinois.

“State Laws” means any Law or any change in any Law by any State Party.

“State Party” means any State entity that is a party to this Project contract.

“Statement of Qualifications (SOQ)” means the Proposer's submittal in response to a RFQ containing its team composition and qualifications to deliver the Project, inclusive of all information and documents required in the RFQ.

EXHIBIT 1
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
DEFINITIONS AND ACRONYMS

“Statement of Qualifications (SOQ) Checklist” – A list of all required documents and information to be contained within the Proposer's SOQ per Attachment F.

“Tunneling Expert” means the individual from the Proposer's organization responsible for leading the tunneling operations in this Project as defined in Section 4.3.6(H).

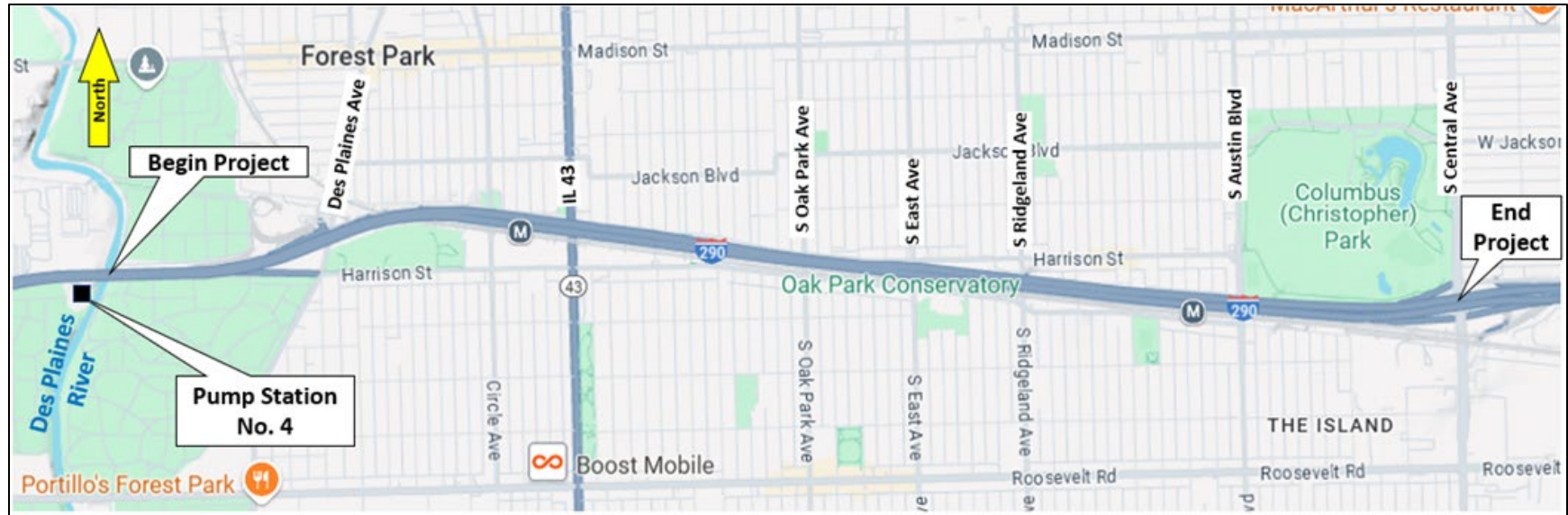
“USDOT” means the United States Department of Transportation.

“Work” means collectively, the development, design, construction, completion, management, and any other services identified in the CMGC Contract to be performed by the Contractor.

Attachment A – Location Map

ATTACHMENT A
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
LOCATION MAP

The Project extends along I-290 from the Des Plaines River to just east of Austin Boulevard.



Attachment B – Preliminary Reference Information Documents

ATTACHMENT B
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
PRELIMINARY REFERENCE INFORMATION DOCUMENTS

A list of preliminary RIDs has been provided in this Attachment B. The RIDs will be provided to the shortlisted Proposers during the RFP Step. The RIDs are for informational purposes only and are subject to revision, correction, or alteration. The RIDs provided may only be relied upon by a Proposer at its own risk and will not be considered contractual or binding in nature.

LIST OF PRELIMINARY REFERENCE INFORMATION DOCUMENTS

RID As-Builts (Descriptions of as-builts are provided for information only and may not be entirely accurate)
1984-006R - I-290 from Des Plaines Ave to Austin Blvd
62B78 (Pump Station 4)_As-Builts Working Doc.pdf
0160090 Fixed Rail Mass Transit Facility Structure over Des Plaines River (1957) PARTIAL PLANS.pdf
0160090 I-290 over Des Plaines River Bridge Repair (1997) PARTIAL PLANS.pdf
0160706 Des Plaines Avenue over Congress Street Expressway (1957) PARTIAL PLANS.pdf
0160092 1961 Orig Plans SW.pdf – CTA Blue Line Bridge
0160093 1962 Orig Plans SW.pdf – CSX Bridge
0160995 1958 Orig Plans SW.pdf – Circle Ave. Bridge
0160311 1957 Orig Plans SW.pdf – Harlem Ave. Bridge
0162060 1957 Orig Plans SW.pdf – Pedestrian Bridge
0162123 1958 Orig Plans SW.pdf – Oak Park Ave. Bridge
0162123 I290 at Oak Park Avenue Bridge (1958) FULL PLANS.pdf
0162061 I-290 at East Avenue Grade Separation plans (1957).pdf
0160710 1957 Orig Plans SW.pdf – Ridgeland Ave. Bridge
0162062 I-290 at Lombard Avenue Grade Separation plans (1958).pdf
0162063 I-290 at Austin Boulevard Bridge plans (1957).pdf
I-290-RetWall Numbering.pdf
0160706 Des Plaines Avenue over Congress Street Expressway (1957) PARTIAL PLANS.pdf
0160706 Des Plaines Avenue over Congress Street Expressway (1957) PARTIAL PLANS.pdf
62W51 – I-290-RetWall Numbering.pdf
0160092 1961 Orig Plans SW.pdf – Retaining Wall #3
0160092 1961 Orig Plans SW.pdf – Retaining Wall #4
0160311 1957 Orig Plans SW.pdf – Retaining Wall #5
0160311 1957 Orig Plans SW.pdf – Retaining Wall #6
Retaining Wall 7.pdf
0160311 1957 Orig Plans SW.pdf – Retaining Wall #8
0160311 1957 Orig Plans SW.pdf – Retaining Wall 9

ATTACHMENT B
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
PRELIMINARY REFERENCE INFORMATION DOCUMENTS

0162123 1958 Orig Plans SW.pdf – Retaining Wall #10
0162060 1957 Orig Plans SW.pdf – Retaining Wall #11
Retaining Wall 12.pdf
0162123 1958 Orig Plans SW.pdf – Retaining Wall #13
0162123 1958 Orig Plans SW.pdf – Retaining Wall #14
0162123 1958 Orig Plans SW.pdf – Retaining Wall #15
0162061 I-290 at East Avenue Grade Separation plans (1957).pdf – Retaining Wall #16
0162061 I-290 at East Avenue Grade Separation plans (1957).pdf – Retaining Wall #17
0162061 I-290 at East Avenue Grade Separation plans (1957).pdf – Retaining Wall #18
0160710 1957 Orig Plans SW.pdf– Retaining Wall #19
0162061 I-290 at East Avenue Grade Separation plans (1957).pdf– Retaining Wall #20
62U06_Retaining Wall along WB I-290_West of Ridgeland Avenue.pdf – Retaining Wall #23
0162062 I-290 at Lombard Avenue Grade Separation plans (1958).pdf– Retaining Wall #24
0162062 I-290 at Lombard Avenue Grade Separation plans (1958).pdf– Retaining Wall #25
0162062 I-290 at Lombard Avenue Grade Separation plans (1958).pdf– Retaining Wall #26
0162063 I-290 at Austin Boulevard Bridge plans (1957).pdf– Retaining Wall #27
Draft I-290 Retaining Wall Condition Report_03182016.pdf– Retaining Wall #27
0162062 1957 Orig Plans SW.pdf– Retaining Wall #27
0162063 I-290 at Austin Boulevard Bridge plans (1957).pdf – Retaining Wall #28
0162063 I-290 at Austin Boulevard Bridge plans (1957).pdf – Retaining Wall #29
0162063 I-290 at Austin Boulevard Bridge plans (1957).pdf – Retaining Wall #30
0162063 I-290 at Austin Boulevard Bridge plans (1957).pdf – Retaining Wall #31
0162063 I-290 at Austin Boulevard Bridge plans (1957).pdf – Retaining Wall #32
RID Concept Plans and Data
62W51 CADD Files
62W51 Concept Exhibits (MOT & Tunneling)
62U40_STN-ConstructionDrawings_Prelim_04052024 – Preliminary Des Plaines River Bridge Reconstruction Drawings
RID Miscellaneous Reference Information
I-290 Phase I LDS
I-290 Phase I Design Report
I-290 Existing Geotechnical Information
I-290 Phase I SUE Information

Attachment C – Conflict of Interest Disclosure

ATTACHMENT C
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
CONFLICT OF INTEREST DISCLOSURE

_____ (Proposer Name) certifies that it has read and understands the following:

The PROPOSER, its team members, and its Affiliates have no public or private interest and shall not acquire directly or indirectly any such interest in connection with the Project, that would conflict or appear to conflict in any manner with the performance of the services under this CM/GC Contract. The PROPOSER and its team members are aware of and understand the requirements of 23 CFR, subsection 636.116 and Illinois Procurement Code Section 50-13 Conflicts of Interest (30 ILCS 500/50-13). "Affiliate" means a corporate entity connected to the PROPOSER through common ownership. "Team member" means any known entity the PROPOSER intends to be in a contractual relationship with to complete the Work associated with the Project. The PROPOSER, its team members, and its Affiliates agree not to provide any services to any entity that may have an adversarial interest in the Project, for which it has provided services to IDOT. The PROPOSER, its team members, and its Affiliates agree to disclose to IDOT all other conflicts of interest or potential conflicts of interest that the PROPOSER, its team members, or its Affiliates have during each phase of the Project. The phases of the Project include, but are not limited to, planning, scoping, early preliminary engineering, design, and construction. In all situations, IDOT, in its sole discretion, will determine whether the PROPOSER's disclosed conflicts of interest or potential conflicts of interest will prohibit a PROPOSER from participating in this procurement.

If the PROPOSER, its team members, and its Affiliates choose to retain the interest constituting the conflict, the IDOT may prohibit a PROPOSER from participating in this procurement.

- ☐ Certification for Subject Project: Based on the foregoing, the PROPOSER certifies that no conflict exists with the subject Project for it, or any of its team members and/or Affiliates
- ☐ Disclose of Conflict with Subject Project: Based on the foregoing, the PROPOSER certifies that a potential conflict does or may exist with the subject Project for it, and/or any of its team members and/or Affiliates. The attached sheets describe the potential conflict

This form, and any attachments, must be certified by a person from the PROPOSER who has contracting authority.

Certified by:	Printed Name:	_____
	Signature:	_____
	Title:	_____
	Company Name:	_____
	Date:	_____

Attachment D – Example Notice of Shortlisting Results

ATTACHMENT D
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
EXAMPLE NOTICE OF SHORTLISTING RESULTS



(DATE OF POSTING)

IDOT I-290 Drainage Improvement Project

Contract No.: 62W51

The following teams have been shortlisted for the I-290 Drainage Improvement Project:

Shortlisted Teams	
	Company 1
	Company 2
	Company 3

Attachment E – Changes to Principal Participant and Key Personnel

ATTACHMENT E
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
CHANGES TO PRINCIPAL PARTICIPANTS AND KEY PERSONNEL

The following changes are required of the Principal Participants and/or Key Personnel reported in the RFQ. If IDOT is notified of the change before scoring is complete, but after the deadline for submittal, the Proposer can submit the resume of the person(s) replacing the Principal Participant and/or Key Personnel. If IDOT is notified after scoring is complete, the Proposer can submit the resume of the person(s) replacing the Principal Participant and/or the Key Personnel, and IDOT will determine if this change affects the selection results. IDOT may remove a Proposer from the shortlist or revoke an awarded CMGC Contract if any Key Personnel or Principal Participant identified in the SOQ is removed, replaced, or added without IDOT's prior written approval.

Replacement Name	Title	Original Proposed Name	Title	Still with Firm?	Phone Number
				Yes <input type="checkbox"/> No <input type="checkbox"/>	
				Yes <input type="checkbox"/> No <input type="checkbox"/>	
				Yes <input type="checkbox"/> No <input type="checkbox"/>	
				Yes <input type="checkbox"/> No <input type="checkbox"/>	
				Yes <input type="checkbox"/> No <input type="checkbox"/>	
				Yes <input type="checkbox"/> No <input type="checkbox"/>	
Proposer Signature					Date
<input type="checkbox"/> Change Approved <input type="checkbox"/> Change Denied		IDOT Procurement Manager Signature			Date

Attachment F – Statement of Qualifications Checklist and Contents

ATTACHMENT F
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
STATEMENT OF QUALIFICATIONS CHECKLIST AND CONTENTS

Proposers shall furnish a copy of this Statement of Qualifications (SOQ) Checklist, with the page references added, with the Statement of Qualifications.

Statement of Qualifications Component	Form (If any)	RFQ Cross reference	Included within 15-page limit	SOQ Page Reference
Statement of Qualifications Checklist and Contents	Attachment F	Section 4.1	No	
Acknowledgement of RFQ, Revision and/or Addenda	Attachment M	Section 2.2.1	No	
Letter of Submittal (on Proposer's Overhead)				
Authorized Representative's Signature	Not Applicable	Section 4.2	Yes	
Proposer's Designated Contact Information	Not Applicable	Section 4.2(A)	Yes	
Principal Officer Information	Not Applicable	Section 4.2(B)	Yes	
Proposer's Corporate Structure	Not Applicable	Section 4.2(C)	Yes	
Identity of Lead Contractor	Not Applicable	Section 4.2(D)	Yes	
Affiliated and Subsidiary Companies of the Proposer	Attachment G	Section 4.2(E)	No	
Certification Regarding Debarment Primary Covered Transactions	Attachment H	Section 4.2(F)	No	
Evidence of Obtaining Bonding	Not Applicable	Section 4.2(G)	No	
Proposer's Licenses and Registrations	Attachment I	Section 4.2(H)	No	
Office of the Illinois Secretary of State Registration	Not Applicable	Section 4.2(H) (1)	No	
Illinois Department of Financial & Professional Regulation (Offices)	Not Applicable	Section 4.2(H) (2)	No	

ATTACHMENT F
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
STATEMENT OF QUALIFICATIONS CHECKLIST AND CONTENTS

Statement of Qualifications Component	Form (If any)	RFQ Cross reference	Included within 15-page limit	SOQ Page Reference
Illinois Department of Financial & Professional Regulation (Key Personnel)	Not Applicable	Section 4.2(H) (3)	No	
Qualifications of Team				
Team Qualifications	Not Applicable	Section 4.3.1	Yes	
Organizational Chart	Not Applicable	Section 4.3.2	Yes	
Organizational Narrative	Not Applicable	Section 4.3.2	Yes	
Team Communication	Not Applicable	Section 4.3.3	Yes	
Key Personnel Resume – Project Manager	Attachment J	Section 4.3.6 (A)	No	
Key Personnel Resume – Construction Manager	Attachment J	Section 4.3.6 (B)	No	
Key Personnel Resume - Construction Quality Manager	Attachment J	Section 4.3.6 (C)	No	
Key Personnel Resume – Environmental Compliance Manager	Attachment J	Section 4.3.6 (D)	No	
Key Personnel Resume – Civil Rights Manager	Attachment J	Section 4.3.6 (E)	No	
Key Personnel Resume – Safety Manager	Attachment J	Section 4.3.6 (F)	No	
Key Personnel Resume – Lead Estimator	Attachment J	Section 4.3.6 (G)	No	
Key Personnel Resume – Tunneling Expert	Attachment J	Section 4.3.6 (H)	No	
Safety Information	Not Applicable	Section 4.3.7	Yes	
Proposer Experience				
Work History Form	Attachment L	Section 4.4	No	

ATTACHMENT F
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
STATEMENT OF QUALIFICATIONS CHECKLIST AND CONTENTS

Statement of Qualifications Component	Form (If any)	RFQ Cross reference	Included within 15-page limit	SOQ Page Reference
Team Diversity & Community Engagement				
Team Diversity & Community Engagement Narrative	Not Applicable	Section 4.5	Yes	
Conflicts of Interest and Financial Viability				
Conflict of Interest Disclosure	Attachment C	Section 4.6.1	No	
Affidavit of Availability (BC 57)	Attachment K	Section 4.6.2	No	

Attachment G – Affiliated and Subsidiary Companies of the Proposer

ATTACHMENT G
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
AFFILIATE AND SUBSIDIARY COMPANIES OF THE PROPOSER

Proposers shall complete the table and include the addresses of Affiliates or subsidiary companies as applicable. By completing this table, Proposers certify that all Affiliate and subsidiary companies of the Proposers are listed.

- ☐ Proposer does not have any Affiliate or subsidiary companies
- ☐ All Affiliate and / or subsidiary companies of the Proposer are listed below

Relationship with Proposer (Affiliate or Subsidiary)	Full Legal Name	Address

Attachment H – Certification Regarding Debarment Primary Covered Transactions

ATTACHMENT H
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
CERTIFICATION REGARDING DEBARMENT PRIMARY COVERED TRANSACTIONS

- 1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, State, or local entity department, or agency;
 - b. Have not within a three-year period preceding this SOQ been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; and have not been convicted of any violations of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements, or receiving stolen property;
 - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph 1) b) of this certification; and
 - d. Have not within a three-year period preceding this application/SOQ had one or more public transactions (federal, state or local) terminated for cause or default.
- 2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this SOQ.

The undersigned makes the foregoing statements to be filed with the SOQ submitted on behalf of the Proposer for contracts to be executed by the Illinois Department of Transportation.

Signature

Date

Title

Name of Firm

Attachment I – Proposer Licenses and Registrations

ATTACHMENT I
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
PROPOSER LICENSES AND REGISTRATIONS

All business entities and Key Personnel on the Proposer team shall satisfy all commercial and professional registration requirements, including, but not limited to professional license requirements to the extent necessary for the proposed Project. Additionally, each business entity on the Proposer's team should be authorized to do business in the State of Illinois by the Illinois Secretary of State. Full size copies of required licenses and registrations, or evidence indicating the same, should be included with the SOQ.

1. Provide the registration information for each business entity on the Proposer's proposed team.

Entity Name	Registration Number	Type of Corporation	Status

2. Provide registration and licensing information for each office practicing or offering to practice any professional services in Illinois.

Entity Name	Office Location / Address	Registration Type	Registration Number	Expiration Date

3. Provide licensing and registration for each Key Personnel member offering services in Illinois.

Key Personnel Name	Office Location / Address	Registration / License Type	Registration / License Number	Expiration Date

Attachment J – Key Personnel Resume Form

ATTACHMENT J
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
KEY PERSONNEL RESUME FORM

Brief Resume of Key Personnel anticipated for the Project	
a. Name & Title:	
b. Project Assignment:	
c. Name of all Firms with which you are employed at the time of submitting SOQ. In addition, please denote the type of employment (Full time/Part Time):	
d. Employment History: With current firm: _ years. With Other firms: _ years Please list chronologically (most recent first) your employment history, position, general responsibilities, and duration of employment for the last fifteen (15) years. (NOTE: If you have less than 15 years of employment history, please list the history for those years you have worked. Project specific experience shall be included in Section (g) below):	
e. Education: Name & Location of Institution(s)/Degree(s)/Year/Specialization:	
f. Active Registration(s): Year First Registered / Discipline /IL Registration No.:	

ATTACHMENT J
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
KEY PERSONNEL RESUME FORM

g. Document the extent and depth of your experience and qualifications relevant to the Key Personnel Role and the Project.

1. Note your role, responsibility, and specific job duties for each project, not those of the firm.
2. Note whether experience is with current firm or with another firm.
3. Provide beginning and end dates for each project; projects older than fifteen (15) years will not be considered for evaluation.

(List only three (3) relevant projects for which you have performed a similar function. If additional projects are shown in excess of three (3), the SOQ may be rendered non-responsive. In any case, only the first three (3) projects listed will be evaluated). On-call contracts with multiple task orders (on multiple projects) may not be listed as a single project.

h. For Key Personnel required to be on-site full-time for the duration of construction, provide a current list of assignments, role, and the anticipated duration of each assignment.

Attachment K – Affidavit of Availability (BC 57)

The Affidavit of Availability (BC 57) form can be downloaded from the link in RFQ Section 4.6.2.

Attachment L – Work History Form

ATTACHMENT L
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
WORK HISTORY FORM
(LIMIT 1 PAGE PER PROJECT)

a. Project Name, Location & Delivery Method	b. Name of the Principal Participant <u>or Key Subcontractor</u>	c. Contact information of the Client or Owner and their Project Manager who can verify Principal Participant's <u>or Key Subcontractor</u> responsibilities.	d. Contract Completion Date (Original) (MM/YYYY)	e. Contract Completion Date (Actual or Estimated) (MM/YYYY)	f. Contract Value (in thousands)		g. Dollar Value of Work Performed by the Principal Participant <u>or Key Subcontractor</u> .
					Original Contract Value	Final or Estimated Contract Value	
Name: Location: Delivery Method:	Name:	Name of Client/ Owner: Phone: Project Manager: Phone: Email:					
<p>h. Narrative describing the Work Performed by the Principal Participant <u>or Key Subcontractor</u> identified for this project. If the Proposer chooses to submit work completed by an affiliated or subsidiary company, <u>or Key Subcontractor</u> identify the full legal name of the affiliate or subsidiary <u>or Key Subcontractor</u> and the role they will have on <u>this</u> Project, so the relevancy of that work can be considered accordingly. The Work History Form shall include only one singular project. Projects/contracts with multiple phases, segments, elements (projects), and/or contracts shall not be considered a single project and shall not be claimed as a single project on this form. If the Proposer chooses to submit work performed as a Joint Venture or Partnership, identify how the Joint Venture or Partnership was structured and provide a description of the portion of the work performed only by the Proposer's firm.</p>							

Attachment M – Acknowledgement of RFQ Revision and/or Addenda

ATTACHMENT M
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
ACKNOWLEDGEMENT OF RFQ REVISION AND/OR ADDENDA

Acknowledgement shall be made of receipt of the Request for Qualifications (RFQ) and/or any and all revisions and/or addenda pertaining to the above designated project which are issued by IDOT prior to the Statement of Qualifications (SOQ) submission date shown in Section 1.2 of the RFQ. Failure to include this acknowledgement in the SOQ may result in the rejection of your SOQ.

By signing this Attachment M, the Proposer acknowledges receipt of the RFQ and/or following revisions and/or addenda to the RFQ for the above designated project which were issued under cover letter(s) of the date(s) shown hereon:

1. Cover letter of _____
(Date)
2. Cover letter of _____
(Date)
3. Cover letter of _____
(Date)

Signature

Date

Printed Name

Title

Attachment N – Project Information Documents

ATTACHMENT N
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
PROJECT INFORMATION DOCUMENTS

PIDs are data driven, project studies or other related information provided by the Department and are intended to be relied upon by the Proposer. A list of PIDs has been provided in this Attachment N. The PIDs will be provided to the shortlisted Proposers during the RFP Step.

INDEX OF PROJECT INFORMATION DOCUMENTS

PID Studies, Reports, or Other Documents
XPSWM Model
ETS televising Report
Geotechnical Baseline Report (GBR)
Geotechnical Data Report (GDR)
Abbreviated Structure Geotechnical Reports (SGR)

Attachment O - RFC Submittal Form

ATTACHMENT O
PROJECT: I-290 DRAINAGE IMPROVEMENT PROJECT
RFC SUBMITTAL FORM
REQUEST FOR CLARIFICATION

All RFCs must be received by IDOT at the email address in the RFQ (Section 2.2.1) by the applicable deadline in the Procurement Schedule (Section 1.2). Proposers must submit all RFCs through a single authorized representative.

Each RFC shall be submitted in Word format using this Attachment O - RFC Submittal Form. Proposers are limited to one RFC per form. Proposers must not identify the Proposer in the body of the question or request.

All RFCs shall include:

1	RFC Number (starting with RFC-001):	
2	Proposer Name:	
3	Proposer's Authorized Representative (Name, Telephone Number, and Email Address):	
4	Relevant RFQ attachment, exhibit, section(s), and page number(s), as applicable, or indicate if it is a general question or request:	
5	Clarification Requested or Proposer Question:	
6	Confidential (Yes or No): If Proposer views this RFC to be confidential or proprietary, enclose with this RFC Submittal Form a separate statement justifying the Proposer's assessment.	

Signature

Date

Printed Name

Title